

Overview: Piezoelectricity

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Outline

- **Department of Sensor Technology**
- **Basics**
 - Piezoelectric effect
 - Piezoelectric equations
 - Piezoelectric materials
- **Finite Element Formulation**
 - Weak form
 - Spatial and time discretization
 - Solvers for the algebraic system of equations
- **Material parameter determination**
 - Experimental methods
 - Invers scheme

Location



Department 
Prof. Dr.-Ing. Reinhard Lerch

Secretary
C. Salley-Sippel

Administration
B. Melberg

Simulation/Design
PD Dr. M. Kaltenbacher

- M. Escobar
- G. Link
- **M. Bezdek**
- N. Bretz
- A. Hauck

Technology
Dr. A. Sutor

- B. Baffoun
- U. Bollert
- K. Bauder
- M. Pelz
- A. Sutor

Measurement
PD Dr. M. Kaltenbacher
Dr. A. Sutor

- J. Strobel
- L. Bahr
- M. Günther
- M. Meiler
- **T. Hegewald**
- A. Streicher
- C. Hahn
- **E. Leder**

Research Group
PD Dr. B. Kaltenbacher

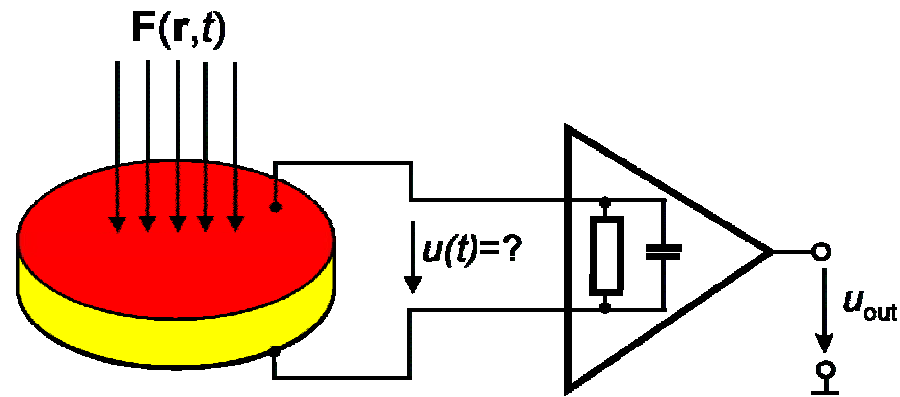
- **M. Mohr**
- **T. Lahmer**

Overview: Piezoelectricity

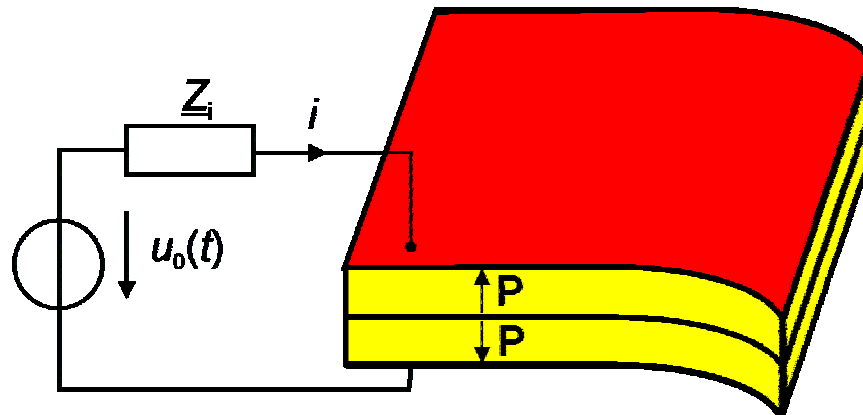
- **Basics**
- **Finite Element Formulation**
- **Material Parameter Determination**

Piezoelectric Effect

- Direct effect (sensor)



- Indirect effect (actuator)



Governing Equations

- **Constitutive equations**

$$[\sigma] = [c^E][S] - [e]^T \mathbf{E}$$

$$\mathbf{D} = [e][S] + [\epsilon^S] \mathbf{E}$$

$[\sigma]$... stress tensor

$[S]$... strain tensor

\mathbf{D} ... electric induction

\mathbf{E} ... electric field intensity

$[c^E]$... tensor of elasticity moduli

$[\epsilon^S]$... tensor of dielectric constants

$[e]$... tensor of piezoelectric moduli

- **Piezoelectric materials** can be subdivided in the following three categories

- **Single crystals**, like quartz

- **Piezoelectric ceramics** like barium titanate or lead zirconate

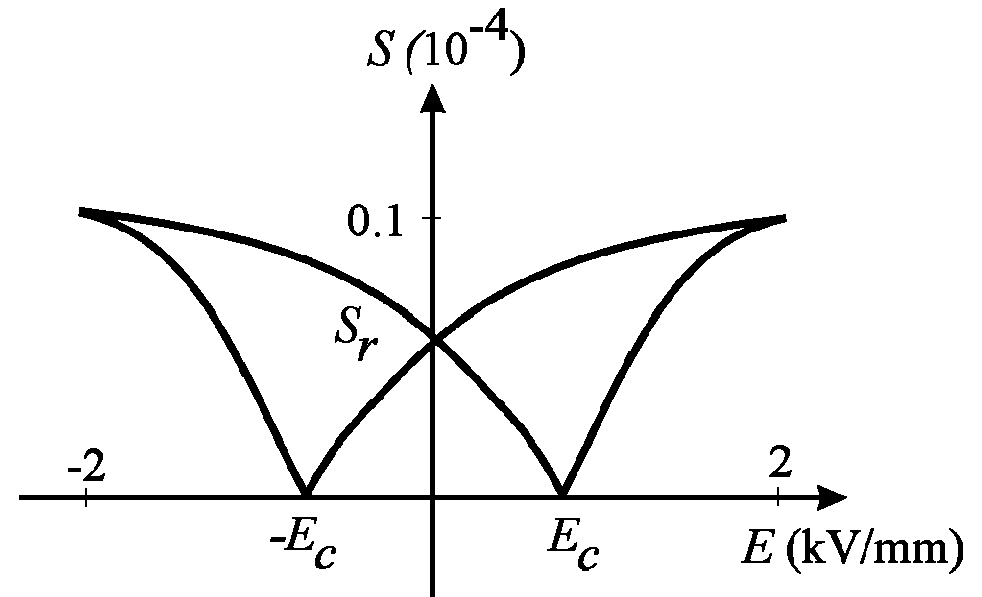
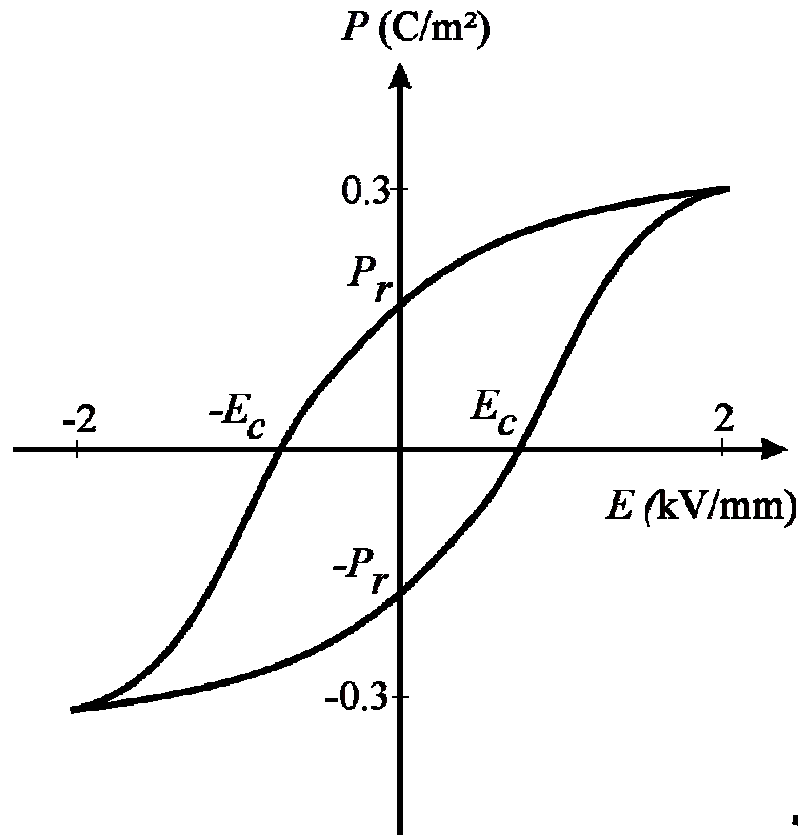
- **Polymers** like PVDF (polyvinylidenefluoride)

Tensors for 6mm Crystal Class

$$[c^E] = \begin{pmatrix} c_{11} & c_{12} & c_{13} & 0 & 0 & 0 \\ c_{12} & c_{11} & c_{13} & 0 & 0 & 0 \\ c_{13} & c_{13} & c_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & c_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & c_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & (c_{11} - c_{12})/2 \end{pmatrix}$$

$$[e] = \begin{pmatrix} 0 & 0 & 0 & 0 & e_{15} & 0 \\ 0 & 0 & 0 & e_{15} & 0 & 0 \\ e_{31} & e_{31} & e_{33} & 0 & 0 & 0 \end{pmatrix} \quad [\epsilon^S] = \begin{pmatrix} \epsilon_{11} & 0 & 0 \\ 0 & \epsilon_{11} & 0 \\ 0 & 0 & \epsilon_{33} \end{pmatrix}$$

Polarization / Strain versus Electric Field Intensity



Overview: Piezoelectricity

- Basics
- **Finite Element Formulation**
- Material Parameter Determination

Coupled Equations (I)

- **Mechanical field (Navier's equation)**

$$\begin{aligned} \mathbf{f}_V + \mathcal{B}^T \boldsymbol{\sigma} &= \rho \ddot{\mathbf{u}} \\ \mathbf{S} &= \mathcal{B} \mathbf{u} \end{aligned} \quad \mathcal{B} = \begin{pmatrix} \frac{\partial}{\partial x} & 0 & 0 & 0 & \frac{\partial}{\partial z} & \frac{\partial}{\partial y} \\ 0 & \frac{\partial}{\partial y} & 0 & \frac{\partial}{\partial z} & 0 & \frac{\partial}{\partial x} \\ 0 & 0 & \frac{\partial}{\partial z} & \frac{\partial}{\partial y} & \frac{\partial}{\partial x} & 0 \end{pmatrix}^T$$

$\boldsymbol{\sigma}$... stress tensor \mathbf{u} ... mechanical displacement
 \mathbf{S} ... strain tensor \mathbf{f}_V ... mechanical volume force

- **Electrostatic field (Maxwell's equations)**

$$\begin{aligned} \nabla \cdot \mathbf{D} &= 0 \\ \nabla \times \mathbf{E} &= 0 \Rightarrow \mathbf{E} = -\nabla V_e \end{aligned}$$

\mathbf{D} ... electric flux density V_e ... scalar electric potential
 \mathbf{E} ... electric field intensity

Coupled Equations (II)

- Constitutive equations

$$[\sigma] = [c^E][S] - [e]^T E$$

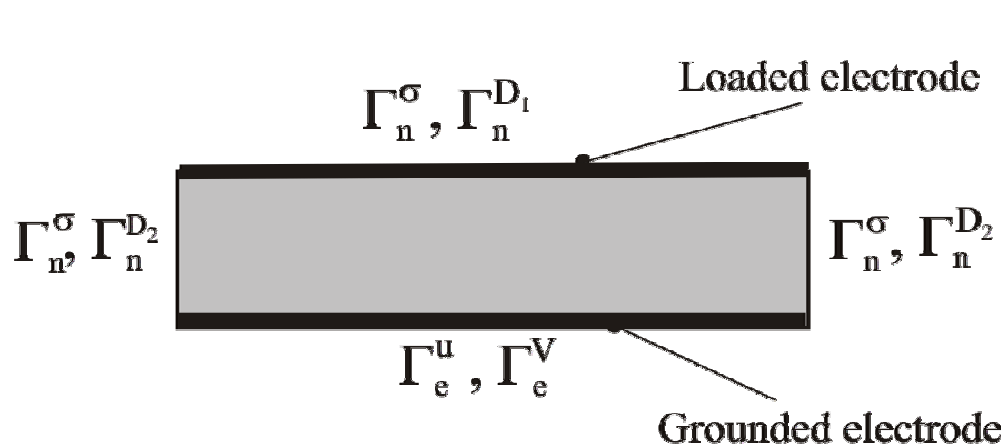
$$D = [e][S] + [\epsilon^S]E$$

- Coupled equations

$$\rho \ddot{u} - \mathcal{B}^T \left([c^E] \mathcal{B} u + [e]^T \nabla V_e \right) = f_V$$

$$\nabla \cdot \left([e] \mathcal{B} u - [\epsilon^S] \nabla V_e \right) = 0$$

- Boundary conditions



$$u = 0 \text{ on } \Gamma_e^u$$

$$V_e = 0 \text{ on } \Gamma_e^V$$

$$n \cdot [\sigma] = 0 \text{ on } \Gamma_n^\sigma$$

$$n \cdot D = -\frac{qe}{A} \text{ on } \Gamma_n^{D_1}$$

$$n \cdot D = 0 \text{ on } \Gamma_n^{D_2}$$

Finite Element Formulation (I)

- **Weak form:** Find $(\mathbf{u} \in \mathbf{H}_0^1, V_e \in H_0^1)$ such that

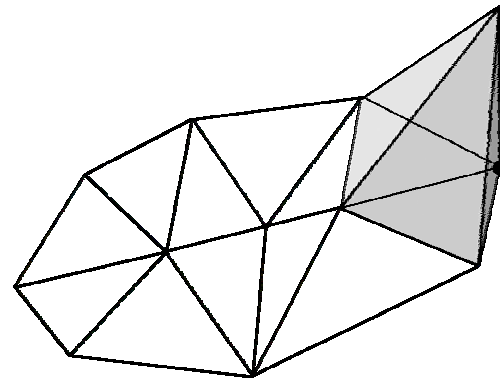
$$\int_{\Omega} \rho \mathbf{u}' \cdot \ddot{\mathbf{u}} \, d\Omega + \int_{\Omega} (\mathcal{B}\mathbf{u}')^T [\mathbf{c}^E] \mathcal{B}\mathbf{u} \, d\Omega + \int_{\Omega} (\mathcal{B}\mathbf{u}')^T [\mathbf{e}]^T \tilde{\mathcal{B}}V_e \, d\Omega = 0$$

$$\int_{\Omega} (\tilde{\mathcal{B}}\psi)^T \mathbf{e}(\mathcal{B}\mathbf{u}) \, d\Omega - \int_{\Omega} (\tilde{\mathcal{B}}\psi)^T [\boldsymbol{\varepsilon}^S] \tilde{\mathcal{B}}V_e \, d\Omega = - \int_{\Gamma_n^{D1}} \psi \frac{q_e}{A} \, d\Gamma$$

for any $(\mathbf{u}' \in \mathbf{H}_0^1, \psi \in H_0^1)$

- **Spatial approximation**

$$V_e \approx V_e^h = \sum_{a=1}^{n_n} N_a V_{ea}$$



**Nodal shape function
(local support)**

$$\mathbf{u} \approx \mathbf{u}^h = \sum_{i=1}^{n_d} \sum_{a=1}^{n_n} N_a u_{ia} \mathbf{e}_i = \sum_{a=1}^{n_n} \mathbf{N}_a \mathbf{u}_a \quad ; \quad \mathbf{N}_a = \begin{pmatrix} N_a & 0 & 0 \\ 0 & N_a & 0 \\ 0 & 0 & N_a \end{pmatrix}$$

Finite Element Formulation (II)

- **Semidiscrete Galerkin formulation:**

$$\begin{pmatrix} \mathbf{M}_u & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \ddot{\underline{u}} \\ \ddot{\underline{V}_e} \end{pmatrix} + \begin{pmatrix} \mathbf{C}_u & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \dot{\underline{u}} \\ \dot{\underline{V}_e} \end{pmatrix} + \begin{pmatrix} \mathbf{K}_u & \mathbf{K}_{uV} \\ \mathbf{K}_{uV}^T & -\mathbf{K}_V \end{pmatrix} \begin{pmatrix} \underline{u} \\ \underline{V}_e \end{pmatrix} = \begin{pmatrix} 0 \\ \underline{f}_q \end{pmatrix}$$

\mathbf{M}_u ... mechanical mass matrix
 \mathbf{C}_u ... mechanical damping matrix
 \mathbf{K}_u ... mechanical stiffness matrix
 \underline{u} ... mechanical displacement

\mathbf{K}_{uV} ... coupling matrix
 \mathbf{K}_V ... electric stiffness matrix
 \underline{f}_q ... electric force vector
 \underline{V}_e ... electric scalar potential

- Introduced damping via Rayleigh model:

$$\mathbf{C}_u = \alpha \mathbf{M}_u + \beta \mathbf{K}_u \quad \alpha, \beta \dots \text{damping coefficients}$$

Finite Element Formulation (III)

- **Time discretization:** Newmark method

$$\underline{u}_{n+1} = \underline{u}_n + \Delta t \underline{\dot{u}}_n + \frac{\Delta t^2}{2} \left((1 - 2\beta_H) \underline{\ddot{u}}_n + 2\beta_H \underline{\ddot{u}}_{n+1} \right)$$

$$\underline{\dot{u}}_{n+1} = \underline{\dot{u}}_n + \Delta t \left((1 - \gamma_H) \underline{\ddot{u}}_n + \gamma_H \underline{\ddot{u}}_{n+1} \right)$$

n ... time-step counter

Δt ... time-step value

β_H .. integration parameter

γ_H ... integration parameter

- **Choice of integration parameters:**

- **Explicit:** Stability depends on mesh size, material parameters makes just sense for piezoelectric-structure interaction

 explicit/implicit splitting

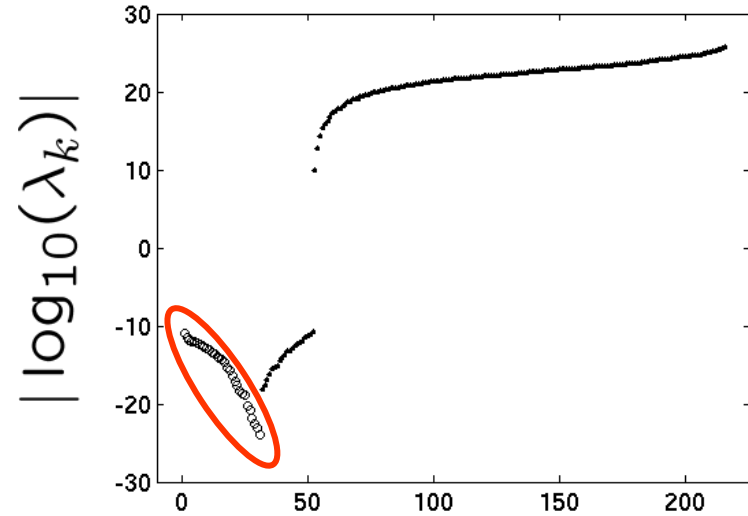
- **Implicit:** $\beta_H = 0.25$, $\gamma_H = 0.5$

 2nd order accurate

Algebraic Solver (I)

- Static case

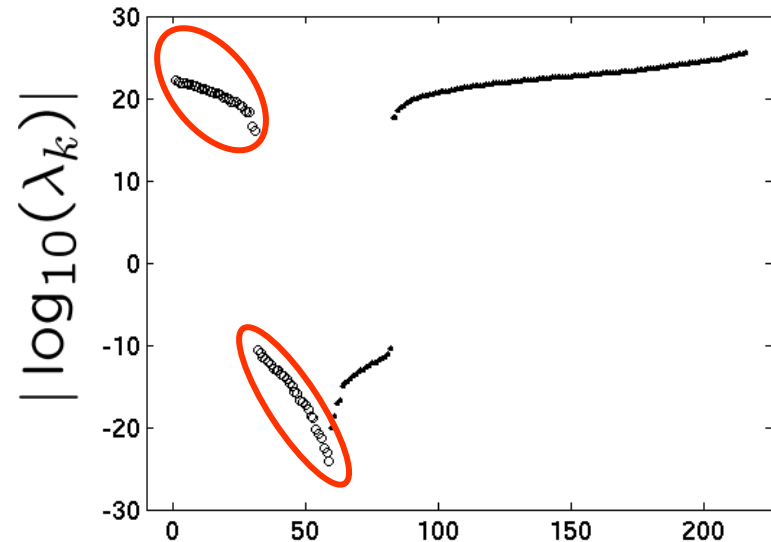
$$\mathbf{A} = \begin{pmatrix} \mathbf{K}_u & \mathbf{K}_{uV} \\ \mathbf{K}_{uV}^T & -\mathbf{K}_V \end{pmatrix}$$



- Harmonic case

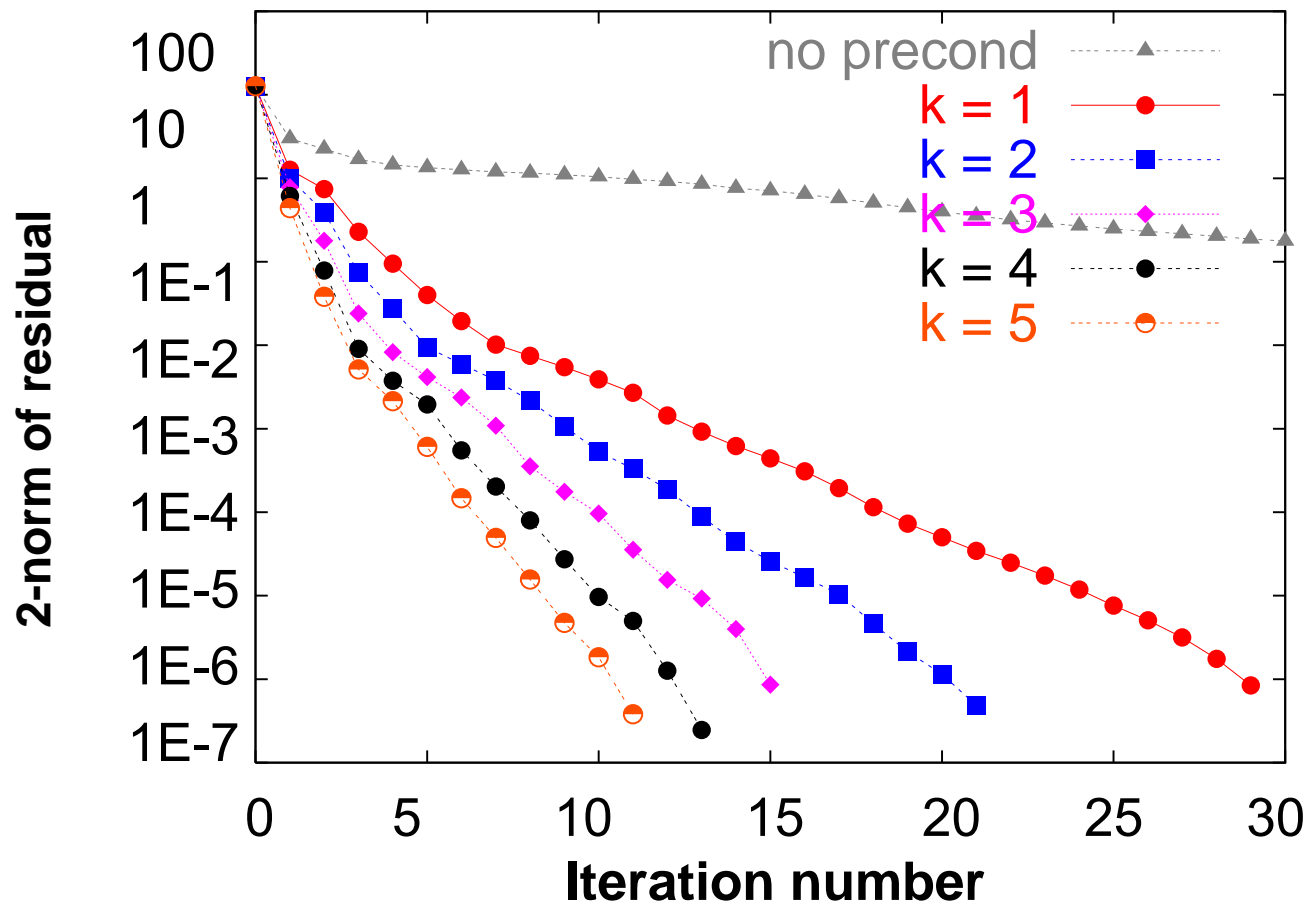
$$\mathbf{A} = \begin{pmatrix} \mathbf{K}_u - \omega^2 \mathbf{M}_u & \mathbf{K}_{uV} \\ \mathbf{K}_{uV}^T & -\mathbf{K}_V \end{pmatrix}$$

 Negative eigenvalues



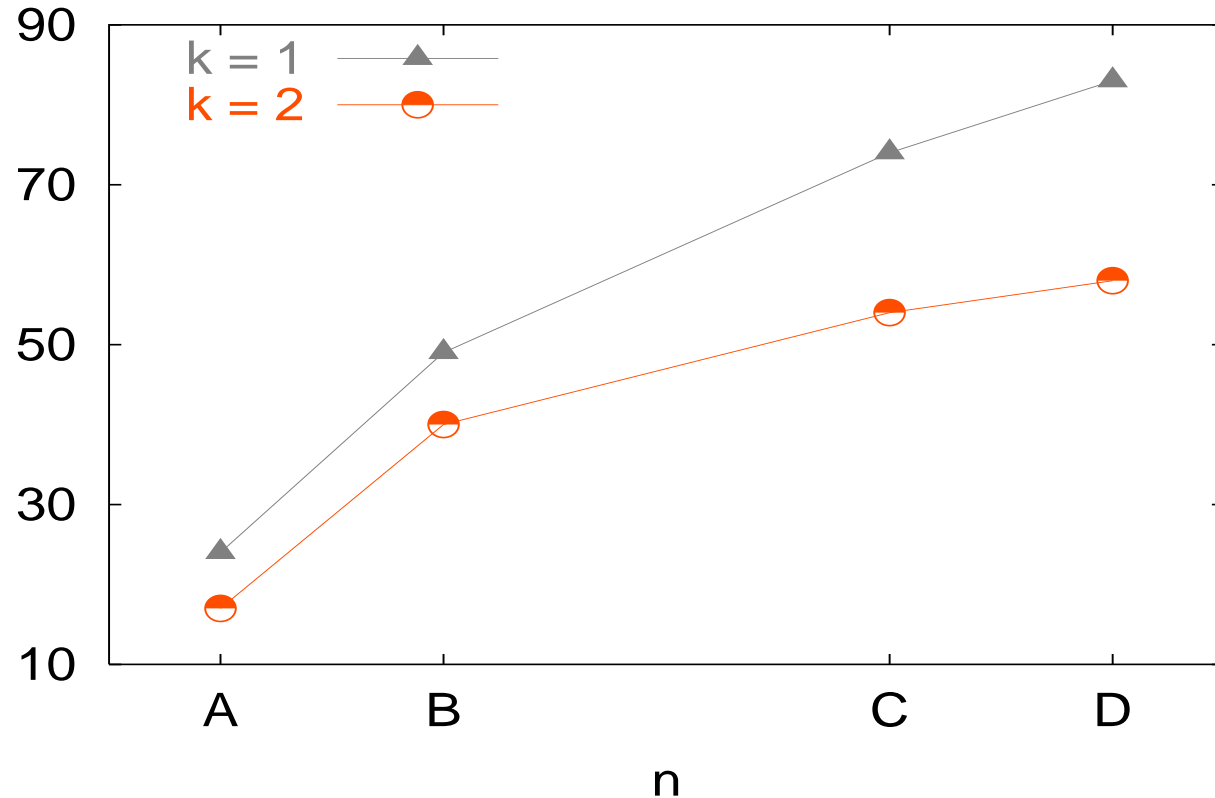
Algebraic Solver (II)

- **GMRES-solver** (General Minimal Residual)
- **ILDL(k)-preconditioner** (Incomplete LDL-decomposition with fill-in level k)



Algebraic Solver (III)

- Dependency of iteration number on problem size



A: 36.519

B: 77.696

C: 300.243

D: 618.403

Overview: Piezoelectricity

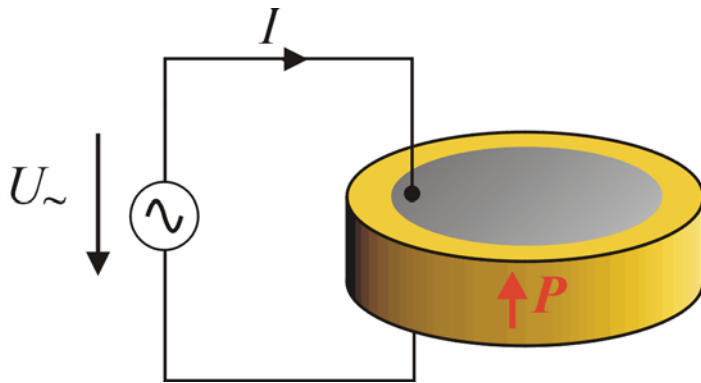
- Basics
- Finite Element Formulation
- **Material Parameter Determination**

State of the Art

- **Test samples with special geometries: (IEEE Standard)**

Simplification to the one-dimensional case, direct relation between resonance frequencies and coefficients

- **Example: thickness resonator**



thickness \ll radius

$$\frac{k_t^2}{1 - k_t^2} = \frac{e_{33}^2}{c_{33}^E \epsilon_{33}^S}$$
$$k_t^2 = \frac{\pi f_s}{2 f_p} \tan \left(\frac{\pi f_p - f_s}{2 f_p} \right)$$

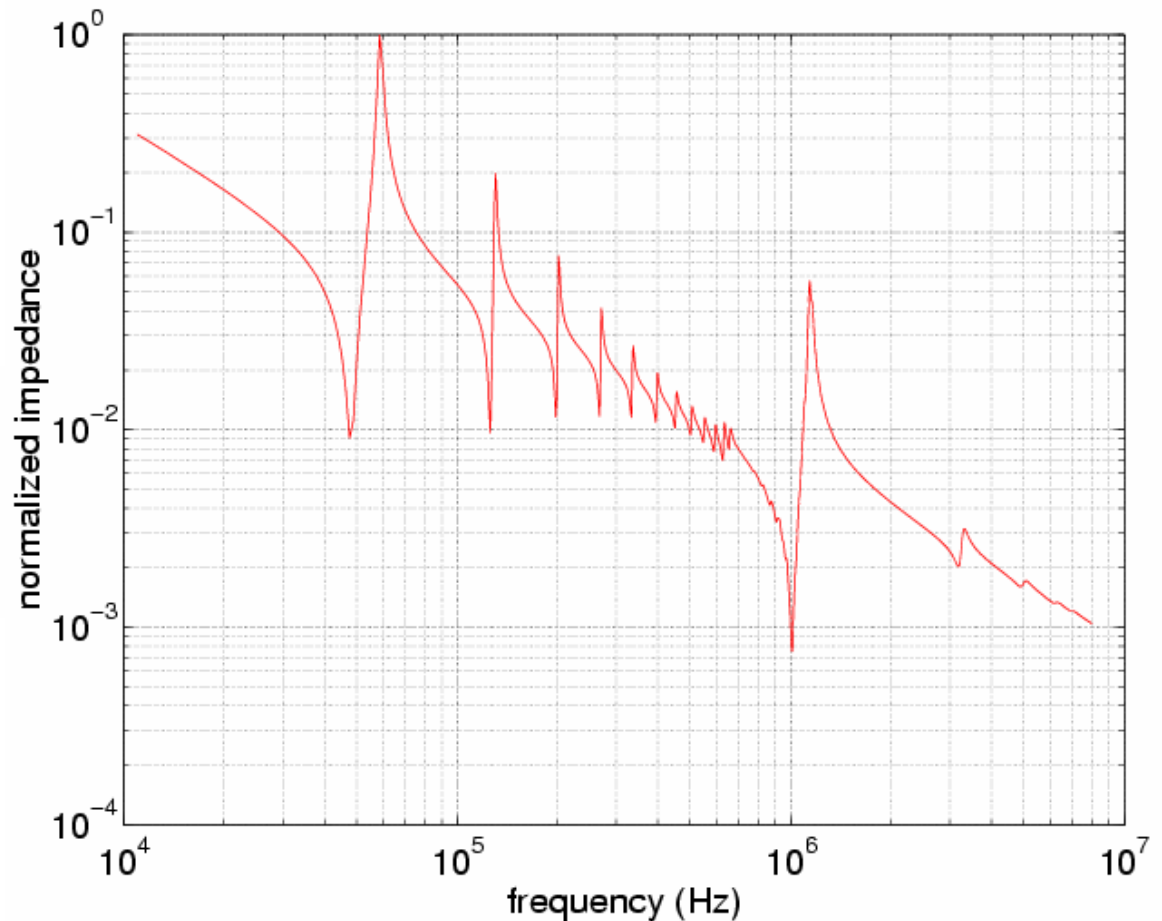
Identification of Material Parameters by Simulation of the Full System

Find material tensors

$$\mathbf{c}^E, \mathbf{e}, \boldsymbol{\varepsilon}^S$$

from measured impedance

$$Z(\omega) = \frac{\hat{\phi}(\omega)|_{\Gamma_e}}{i\omega\hat{q}^e(\omega)}$$



Equipment for characterization of linear and nonlinear material properties

Impedance / gain-phase analyzer



linear **nonlinear**

electrical
impedance
pulse response

mechanical
displacement
force

acoustical
pulse echoe
measurements

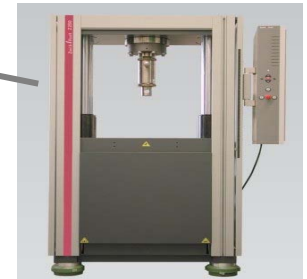
differential fibre interferometer



500W CW RF-amplifier



100kN materials testing machine



Ritec SNAP: two 5kW-pulse amplifiers with receivers



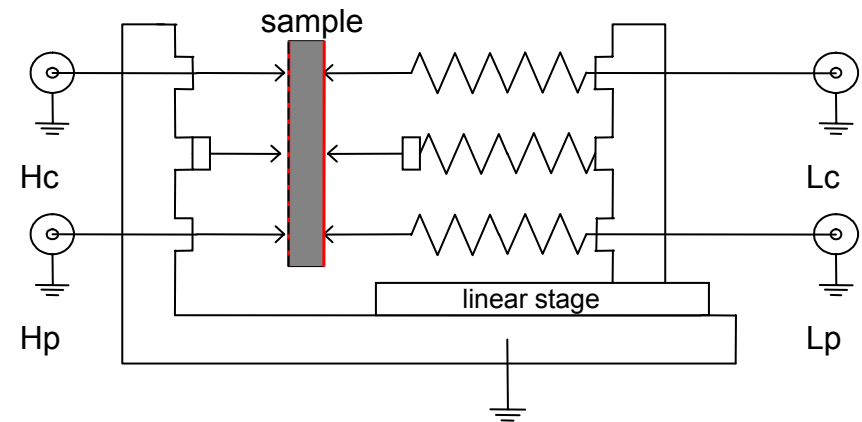
Combined measurement methods for accurate piezoelectric material parameters

Measurement setup

Automated setup for impedance measurement

Contacting phase

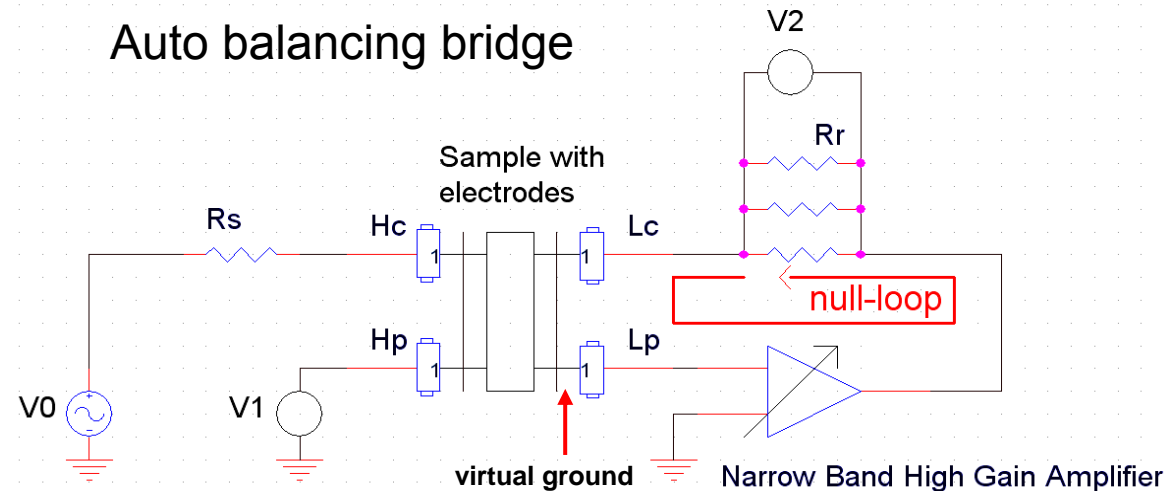
- X-positioning of the spring contact pair Lc-Lp for contact force
- Testing contact resistance with constant current



Measuring sequence

- Contacting phase for the short/open circuit
- Measurement for calibration
- Contacting phase for the piezo sample
- Material measurement ($V_0=1V_{eff}$)

Auto balancing bridge



Material configuration

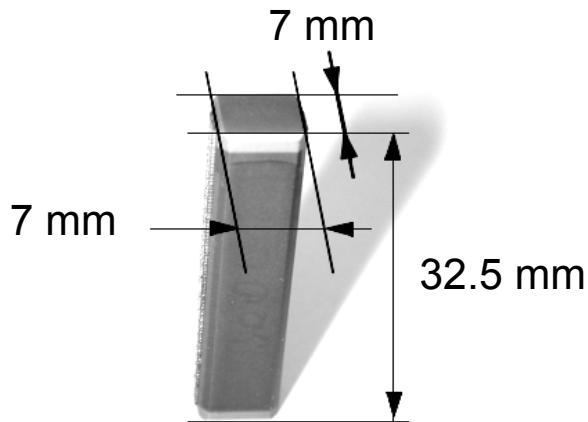
Bulk samples

- PZT disc (radial and thickness mode)
thickness: 0.43 mm, radius: 6.35 mm
- PZT strip (transversal mode)
thickness: 0.43 mm, length: 11.03 mm, width: 2.44 mm

not within the IEEE range
because
width > 5 * thickness
is not fulfilled

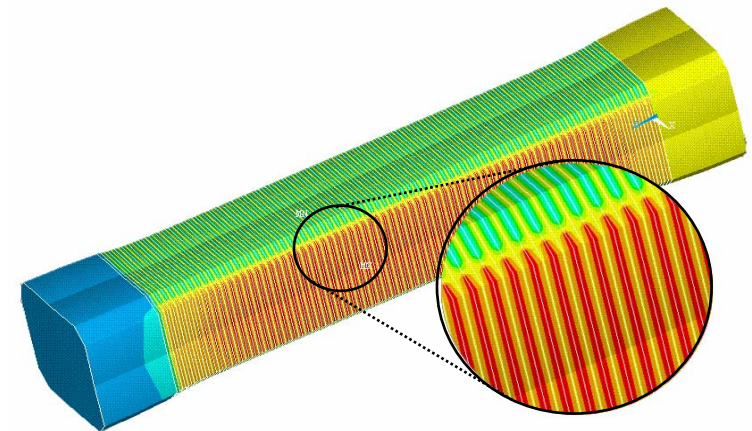


Device sample: stack actuator of a CR-injector application



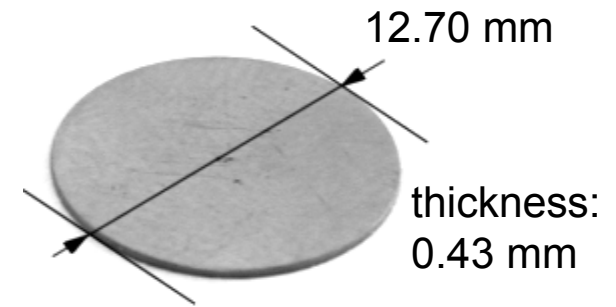
layers:
200

material:
the same as the bulk
samples

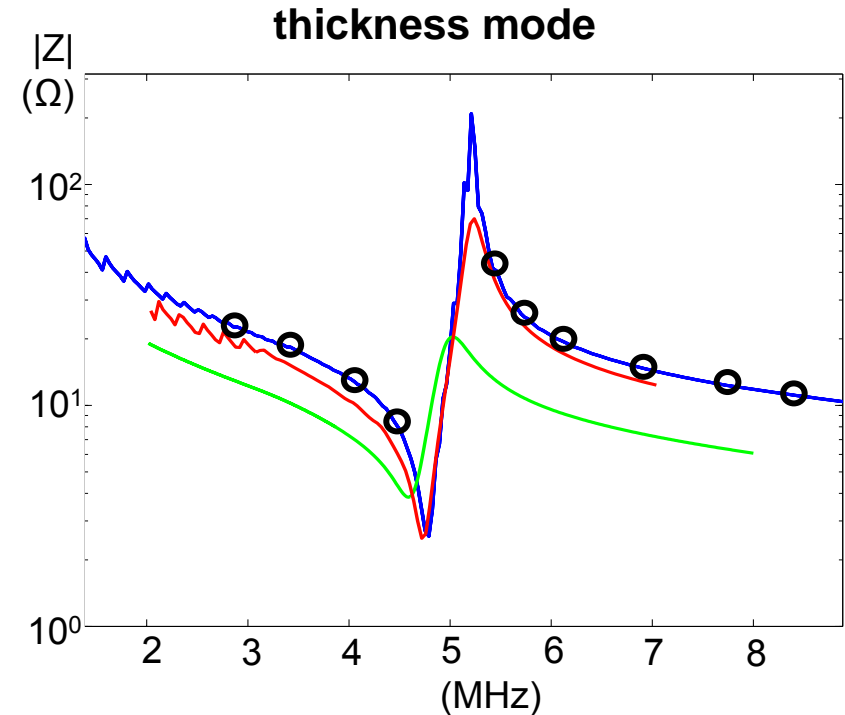
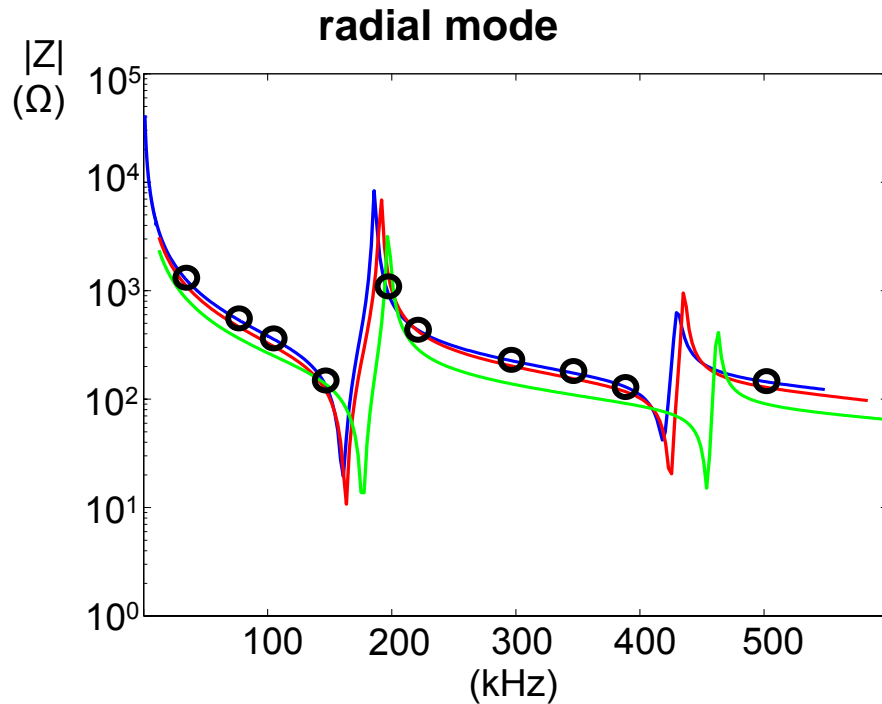


Finite element model

Results (1)



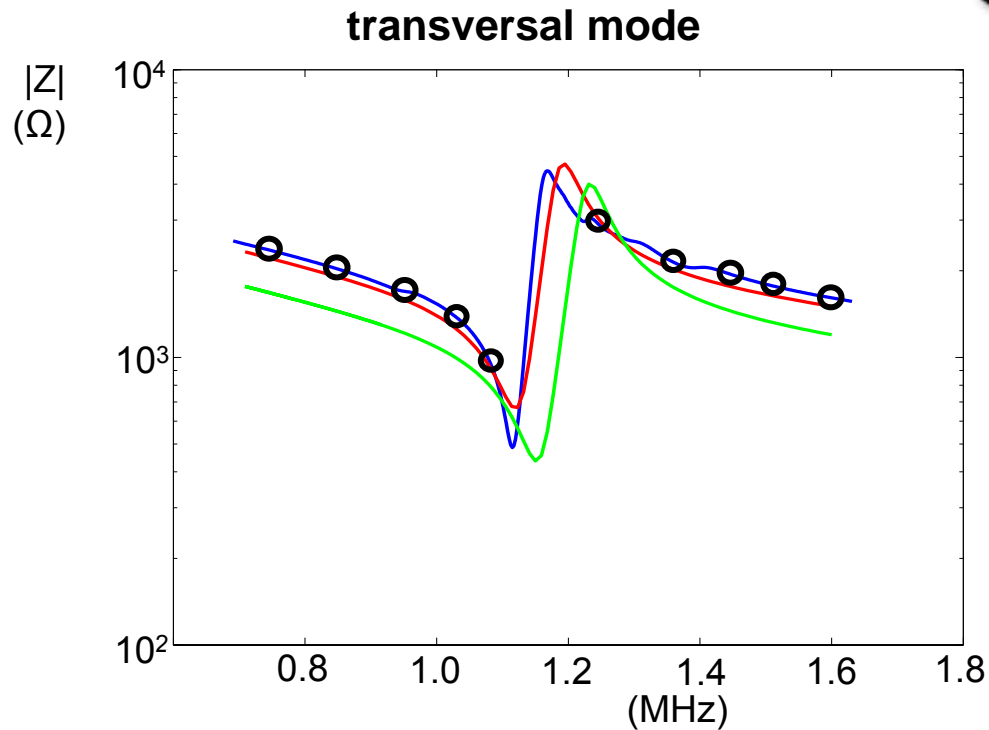
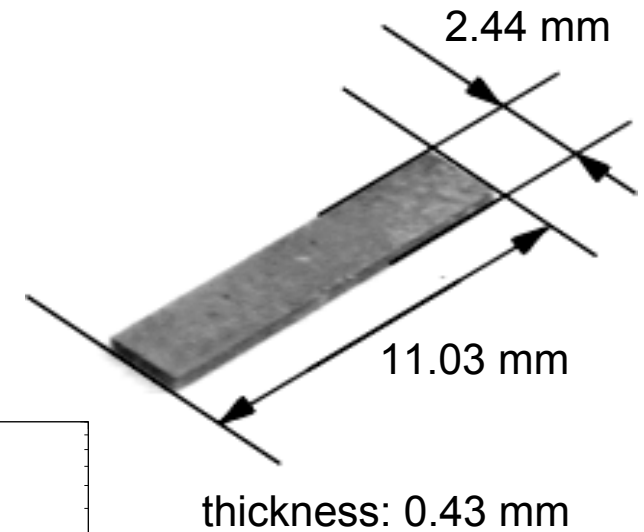
PZT disc – fitted impedance curves



- measurement
- forward simulation with fitted material parameters
- forward simulation with IEEE material parameters
- data for the vector of measurements \hat{y}

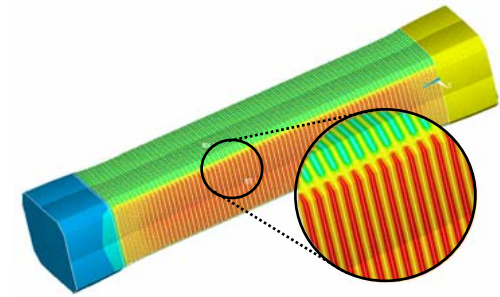
Results (2)

PZT strip – fitted impedance curves

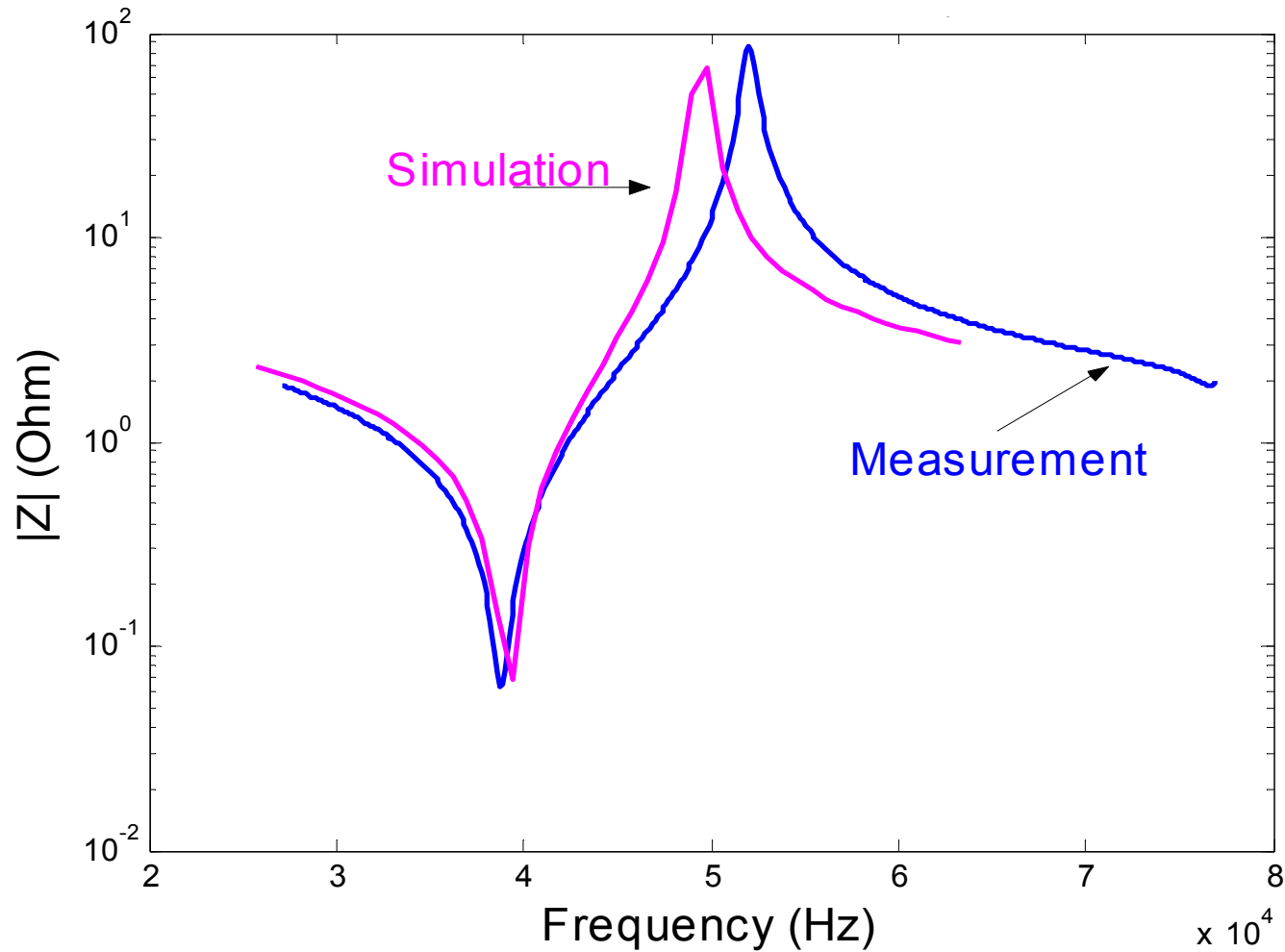


- measurement
- forward simulation with fitted material parameters
- forward simulation with IEEE material parameters
- data for the vector of measurements \hat{y}

Results (3)

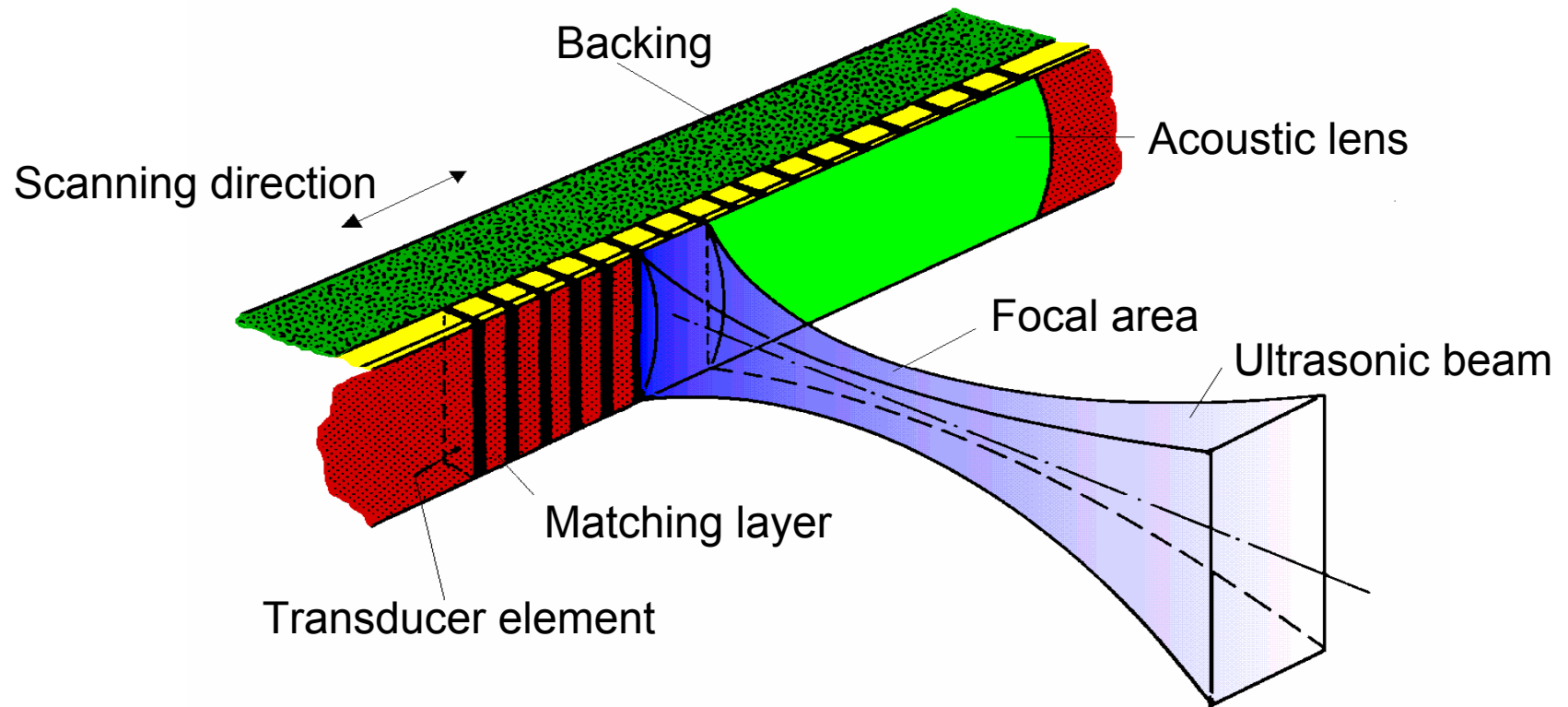


Stack actuator (based on results of raw material)



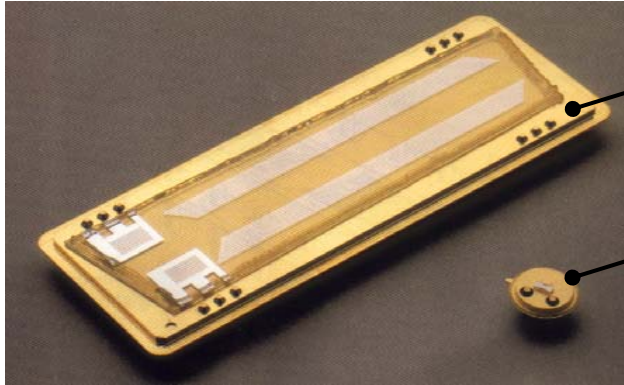
Applications

- Medical diagnostic, nondestructive testing



Applications

- Surface acoustic wave devices (mobile phones, television)



Puls compression filter
(43 MHz)

Resonator (1 GHz)

Origin: Siemens



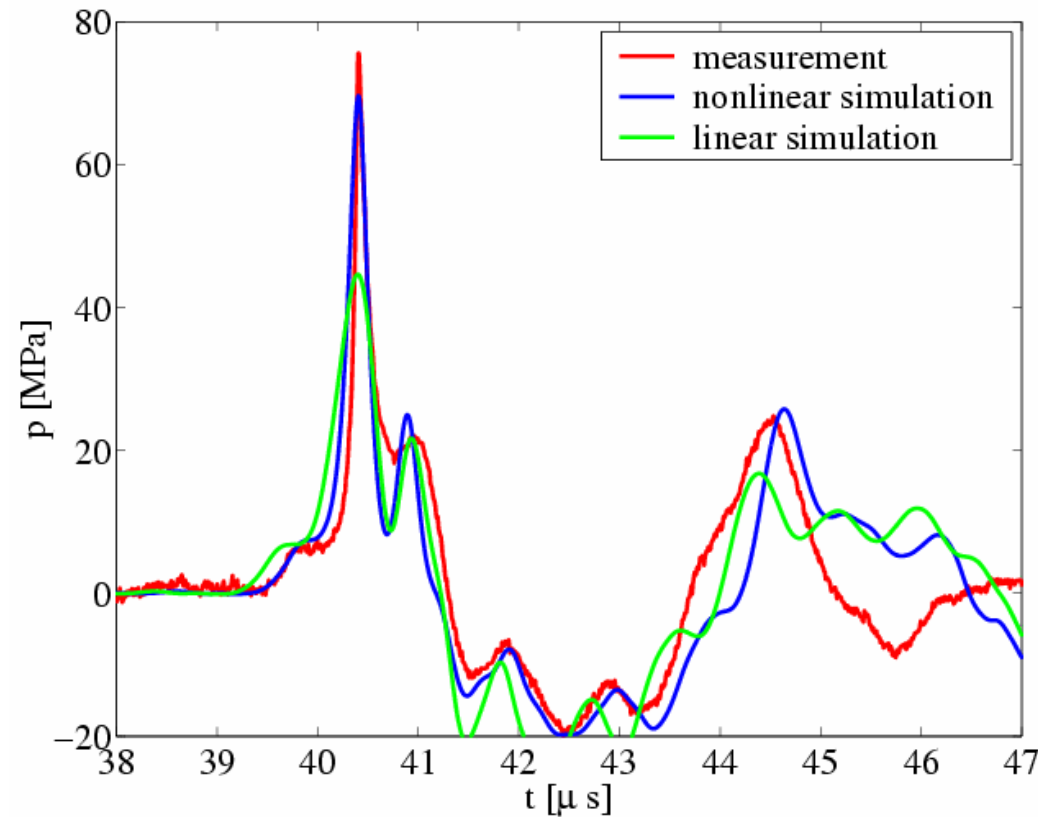
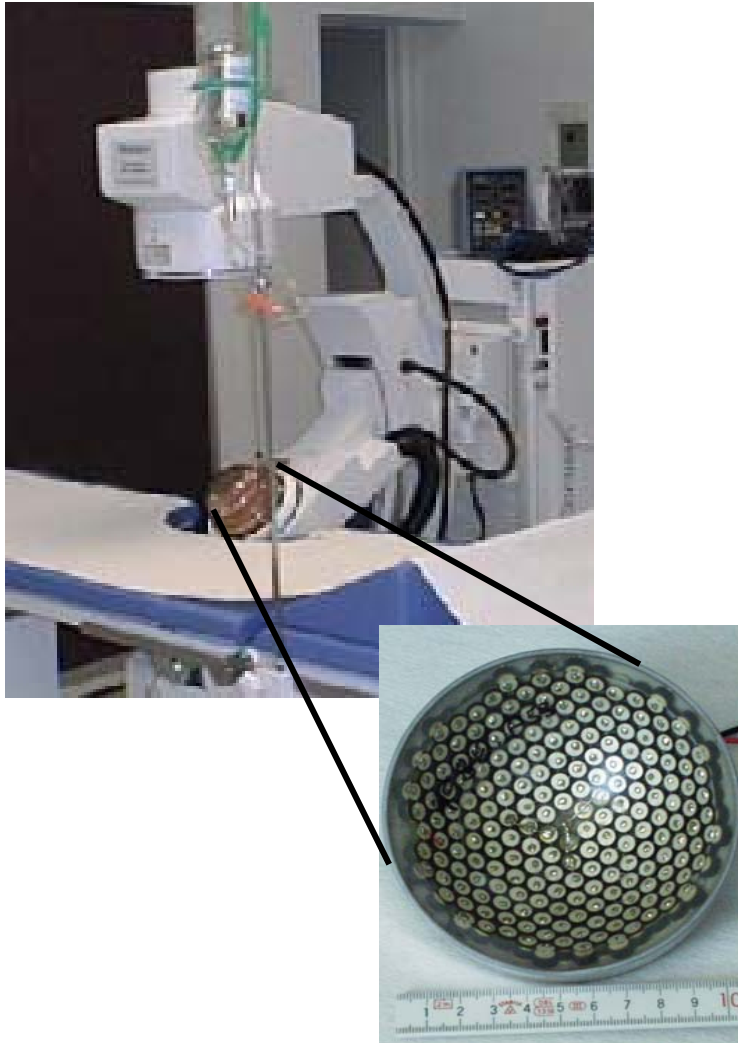
WLAN



Sensor for wheel pressure

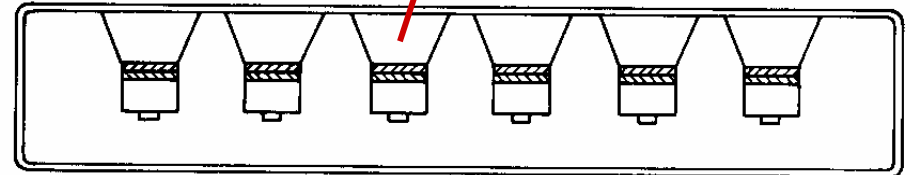
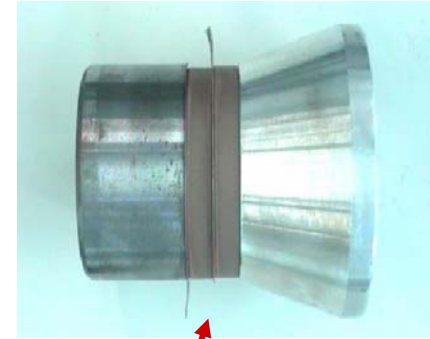
Applications

- High intensity ultrasound: e.g. lithotripsy



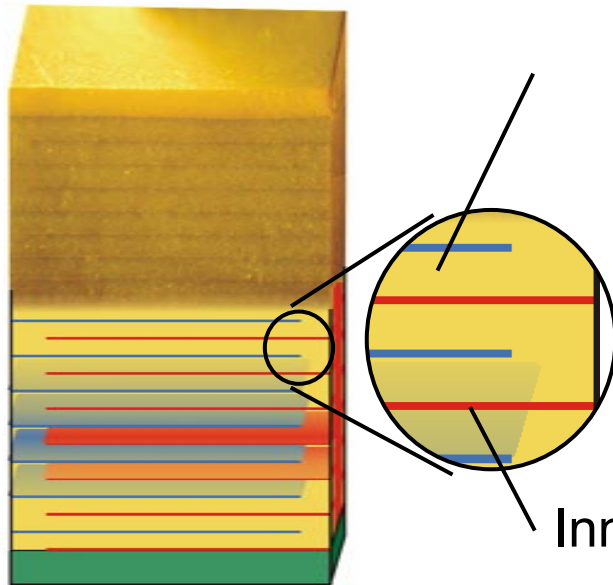
Applications

- High intensity ultrasound: e.g. cleaning



Applications

- Stack actuators (common-rail injection systems)

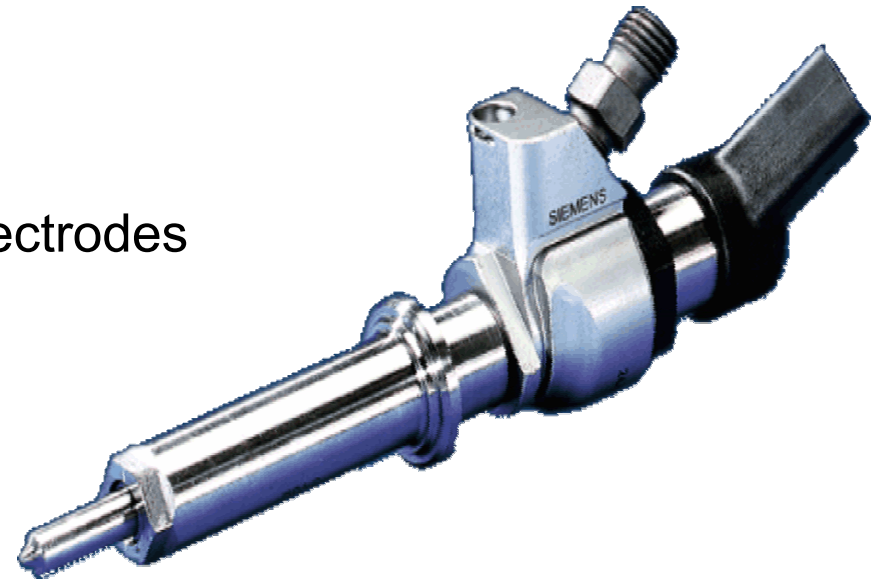


Single ceramic layer

Thickness: 30-200 μ m

Number : till 1000 layers

Inner electrodes



**Thank you for
your attention**