

Implementation of Involutive Bases in Maple and C++

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Outline

- Janet's algorithm
- Involutive bases
- Maple / C++ packages
- Examples

Multiple-closed sets of monomials

$$\mathbb{M} := \text{Mon}(x_1, \dots, x_n) := \{x^i \mid i \in (\mathbb{Z}_{\geq 0})^n\}$$

$S \subseteq \mathbb{M}$ is **\mathbb{M} -multiple-closed** if

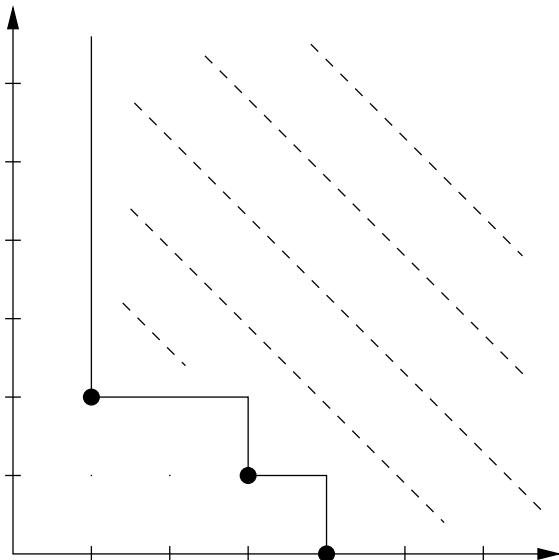
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\mathbb{M} -multiple-closed set
generated by $x_1 x_2^2, x_1^3 x_2, x_1^4$

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\Rightarrow Termination of Janet's and the involutive algorithms

Finally achieve $S = \text{lm}(I)$ as in Buchberger's algorithm

Decomposition into disjoint cones

Let us call $(C, \mu) \in \mathcal{P}(\mathbb{M}) \times \mathcal{P}(\{x_1, \dots, x_n\})$ a **cone**
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$\{(C_1, \mu_1), \dots, (C_l, \mu_l)\} \subset \mathcal{P}(\mathbb{M}) \times \mathcal{P}(\{x_1, \dots, x_n\})$

is a *decomposition of S into disjoint cones* if

$S = \bigcup_{i=1}^l C_i$ and $C_i \cap C_j = \emptyset$ for all $i \neq j$.

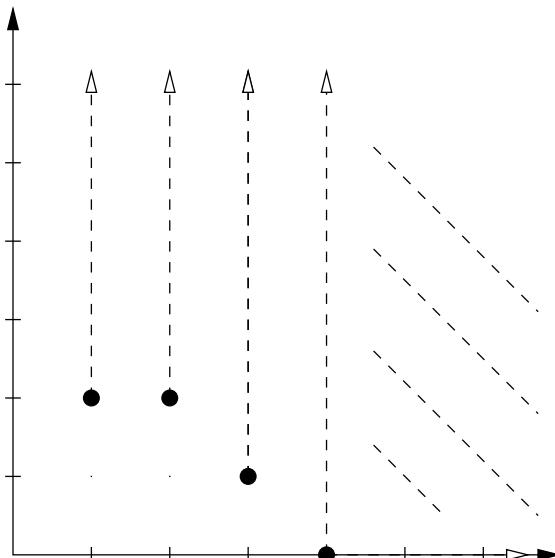
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Janet division:

Let $M \subset \mathbb{M} = \text{Mon}(x_1, \dots, x_n)$ be finite.

For a cone with vertex $m = x_1^{a_1} \cdots x_n^{a_n} \in M$

x_i is a *multiplicative variable*, i.e. $x_i \in \mu$, iff

$$a_i = \max\{ b_i \mid x^b \in M; b_j = a_j \forall j < i \}.$$

Janet reduction

NF(p, T, \prec) $p \in k[x_1, \dots, x_n], \quad T = \{ (d_1, \mu_1), \dots, (d_l, \mu_l) \}$

$r \leftarrow 0$

while $p \neq 0$ **do**

if $\exists (d, \mu) \in T : \text{lm}(p) \in \text{Mon}(\mu)$ d **then**

$p \leftarrow p - \frac{\text{lc}(p)}{\text{lc}(d)} \frac{\text{lm}(p)}{\text{lm}(d)} d$

else

$r \leftarrow r + \text{lc}(p) \text{ lm}(p)$

$p \leftarrow p - \text{lc}(p) \text{ lm}(p)$

fi

od

return r

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return r Disj. cones \Rightarrow course of Alg. is uniquely determined

Janet's algorithm

JanetBasis(F, \prec)

$F \subset k[x_1, \dots, x_n]$ finite

$G \leftarrow F$

do

$G \leftarrow$ auto-reduce G

$J \leftarrow \{ (d_1, \mu_1), \dots, (d_l, \mu_l) \}$ s.t. $\{ (\text{lm}(d_1), \mu_1), \dots, (\text{lm}(d_l), \mu_l) \}$

decomp. into disj. cones of $[\text{lm}(G)]$

$P \leftarrow \{ \text{NF}(x \cdot p, J) \mid (p, \mu) \in J, x \notin \mu \}$

$G \leftarrow \{ p \mid (p, \mu) \in J \} \cup P$

while $P \neq \{0\}$

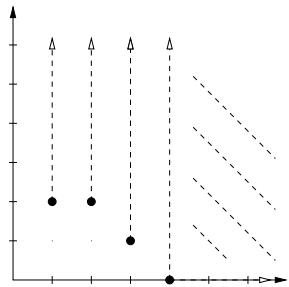
return J

Janet's algorithm

Theorem. (a) A k -basis of $\langle F \rangle$ is given by $\bigcup_{(g,\mu) \in J} \text{Mon}(\mu) g$.

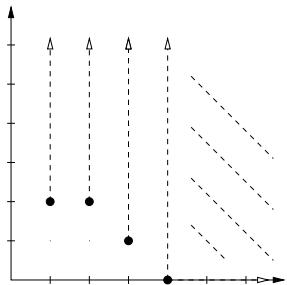
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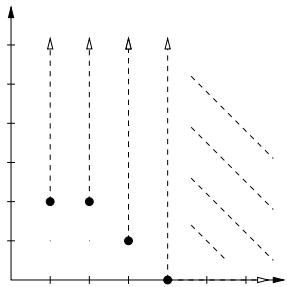
(b) Let T be a decomp. of $\text{Mon}(R) - \text{lm}(\langle F \rangle)$ into disjoint cones.

A k -basis of $R/\langle F \rangle$ is given by the cosets represented by

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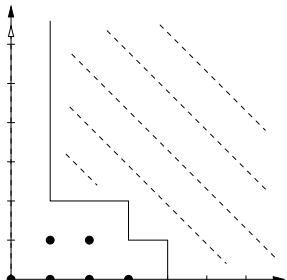
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Theorem. (c) Given $p_1, p_2 \in R$, we have

$$p_1 + \langle F \rangle = p_2 + \langle F \rangle \iff \text{NF}(p_1, J) = \text{NF}(p_2, J).$$

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$$x_j e_i - \sum_l \alpha_{i,j,l} e_l, \quad x_j \notin \mu_i, \quad i = 1, \dots, r,$$

form a Janet basis of $\ker \pi$ for a suitable monomial ordering, where $x_j g_i = \sum_l \alpha_{i,j,l} g_l$.

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↔ direct construction of a free resolution of $R/\langle F \rangle$.

Combinatorial tool

Let $R := k[x_1, \dots, x_n]$.

Generalized Hilbert series of $S \subseteq \text{Mon}(R)$ is

$$H_S(x_1, \dots, x_n) := \sum_{m \in S} m$$

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Most important case: $I \trianglelefteq R$, $S = \text{Mon}(R) - \text{lm}(I)$

$H_S(x_1, \dots, x_n)$ enumerates the k -basis of R/I

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usual Hilbert series of $R/\langle \text{lm}(I) \rangle$ (with std. grading) is

$$H_S(\lambda, \dots, \lambda)$$

Parametric derivatives

Problem: Find all formal power series solutions of

$$\begin{cases} \frac{\partial^2 u}{\partial x^2} - y \frac{\partial^2 u}{\partial t^2} = 0 \\ \frac{\partial^2 u}{\partial y^2} = 0 \end{cases}$$

Janet basis . . .

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Janet basis . . . see worksheet later on . . .

Generalized Hilbert series:

$$1 + t + y + x + t^2 + yt + xt + xy + t^3 + xt^2 + xyt + xt^3$$

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Formal power series solutions:

$$\underbrace{c + c_t t + c_y y + c_x x + c_{t^2} t^2}_{\text{free}} + \dots + \underbrace{c_{y^2} y^2 + c_{x^2} x^2 + c_{yt^2} yt^2}_{\text{det.}} + \dots$$

Involutiv Basis Algorithm (*Gerdt*)

choose $f \in F$ with the lowest $\text{lm}(f)$ w.r.t. \prec

$G \leftarrow \{f\}$; $Q \leftarrow F \setminus G$

do

$h \leftarrow 0$

 while $Q \neq \emptyset$ and $h = 0$ do

 choose $p \in Q$ with the lowest $\text{lm}(p)$ w.r.t. \prec

$Q \leftarrow Q \setminus \{p\}$; $h \leftarrow \text{NF}(p, G, \prec)$

 if $h \neq 0$ then

 for all $\{g \in G \mid \text{lm}(g) = x^i \text{ lm}(h), |i| > 0\}$ do

$Q \leftarrow Q \cup \{g\}$; $G \leftarrow G \setminus \{g\}$

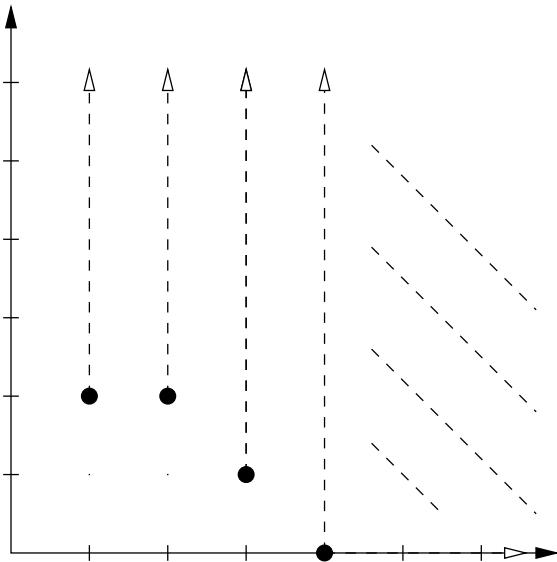
$G \leftarrow G \cup \{h\}$

$Q \leftarrow Q \cup \{x \cdot g \mid g \in G, x \text{ non-mult. for } g\}$

 while $Q \neq \emptyset$

return G

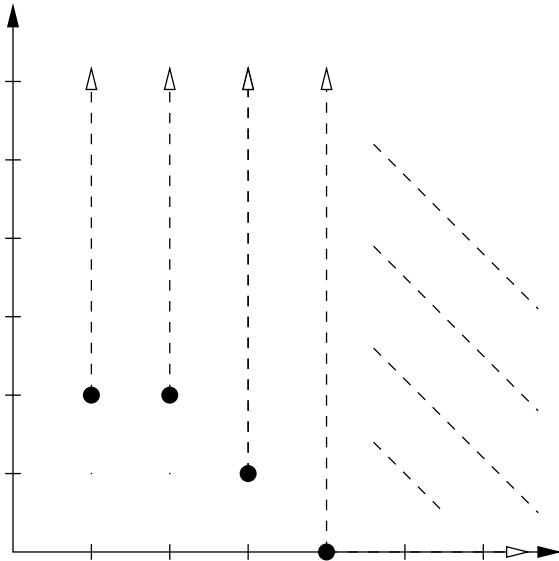
Janet-like Gröbner Bases



Idea: do not store all
the *prolongations*

V. P. Gerdt, Y. A. Blinkov, *Janet-like Monomial Division. Janet-like Gröbner Bases.* CASC 2005, LNCS 3781, Springer, 2005

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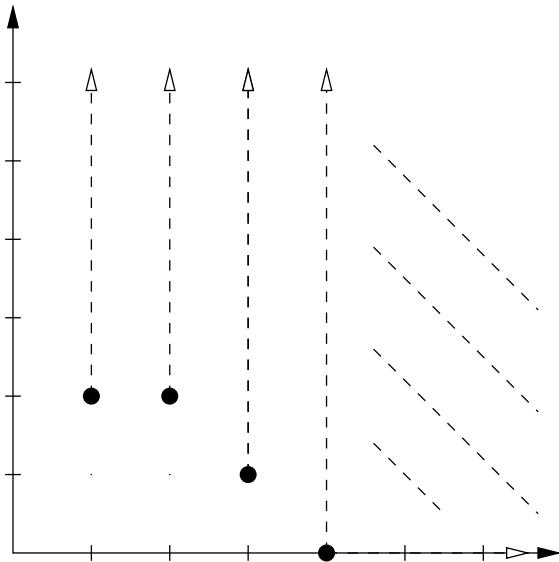


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# **Janet-like Gröbner Bases**



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Note: In general, the minimal Gröbner basis is still a proper subset of the Janet-like Gröbner basis.

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Maple packages...

... at Lehrstuhl B für Mathematik, RWTH Aachen

implementing the involutive basis technique:

Involutive / Janet

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LDA

(Linear Difference Algebra)

in cooperation with V. P. Gerdt & Y. A. Blinkov

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homalg

- an abstract Maple package for homological algebra

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jets

- jet calculus, formal differential geometry

Authors: M. Barakat, G. Hartjen, A. Lorenz

Involutive

- Janet (-like Gröbner) bases for submodules of free modules over a commutative polynomial ring

web: <http://wwwb.math.rwth-aachen.de/Janet>

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degrevlex, plex
TOP / POT
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- Applications:
 - commutative algebra
 - solving systems of algebraic equations

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Main procedures of Involutive

InvolutuveBasis

compute Janet(-like Gröbner) basis

PolInvReduce

involutive reduction modulo Janet basis

FactorModuleBasis

vector space basis of residue class module

Syzygies

syzygies

PolHilbertSeries, PolHilbertPolynomial, etc.

combinatorial devices

PolMinPoly, PolRepres, etc.

computing in residue class rings

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- formal power series solutions, polynomial solutions

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Main procedures of Janet

JanetBasis

compute Janet(-like Gröbner) basis

InvReduce

involutive reduction modulo Janet basis

ParamDeriv

parametric derivatives

CompCond

compatibility conditions (syzygies)

HilbertSeries, HilbertPolynomial, etc.

combinatorial devices

SolSeries, PolySol

formal power series / polynomial solutions

ginv

- C++ module for Python

web: <http://invo.jinr.ru>

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- contributions by V. Brendt, S. Jambor, D. Robertz

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ginv

```
import ginv
st = ginv.SystemType( "Polynomial" )
im = ginv.MonomInterface( "DegRevLex" , st , [ 'x' , 'y' ] )
ic = ginv.CoeffInterface( "GmpZ" , st )
ip = ginv.PolyInterface( "PolyList" , st , im , ic )
iw = ginv.WrapInterface( "CritPartially" , ip )
iD = ginv.DivisionInterface( "Janet" , iw )
eqs = [ "x^2+y^2" , ... ]
basis = ginv.basisBuild( "TQ" , iD , eqs )
```

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vector space basis of residue class module

JSyzygies

syzygies

JHilbertSeries, JHilbertPolynomial, etc.

combinatorial devices

JMinPoly, JRepres, etc.

computing in residue class rings

LDA

Linear Difference Algebra

- Janet (-like Gröbner) bases for linear shift equations

Gerdt – R., *A Maple Package for Computing Gröbner Bases for Linear Recurrence Relations*, Nuclear Instruments and Methods in Physics Research, Section A, 559 (1), 2006

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Linear Difference Algebra

- Janet (-like Gröbner) bases for linear shift equations
- equations with non-constant coefficients
- can naturally be extended to quasilinear systems
- Applications:
 - difference schemes for PDEs
 - Feynman integrals (Tarasov, Smirnov et. al.)

Gerdt – R., *A Maple Package for Computing Gröbner Bases for Linear Recurrence Relations*, Nuclear Instruments and Methods in Physics Research, Section A, 559 (1), 2006

Main procedures of LDA

JanetBasis

compute Janet(-like Gröbner) basis

InvReduce

involutive reduction modulo Janet basis

CompCond

compatibility conditions (syzygies)

ResidueClassBasis, ShiftRepres, etc.

residue class basis of factor module, etc.

HilbertSeries, HilbertPolynomial, etc.

combinatorial devices

Pol2Shift / Shift2Pol

conversion between shift operators and eq's

Applications

Involutive:

- Invariant theory of finite groups
Plesken – R., *Exp. Math.*, 2005
- Construction of matrix representations of groups
Plesken – R., *J. Algebra*, to appear

Applications

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- Invariant theory of finite groups
Plesken – R., *Exp. Math.*, 2005
- Construction of matrix representations of groups
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LDA:

- Constr. of finite difference schemes for PDEs
Gerdt, Blinkov, Mozzhilkin, *SIGMA*, to appear
- Reduction of Feynman integrals . . .
(ongoing work)

homalg

- package is independent of ring

Barakat – R., *homalg: An abstract package for homological algebra*, in preparation

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homalg

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- needs another Maple package which provides the ring arithmetics
- given a functor “on objects”, its part “on morphisms” is provided by the package
- composition and left / right derivation of functors is automatic
- easy to define new functors

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Main procedures of homalg

Hom, Ext, Tensorproduct, Tor

predefined (co-) functors (on objects)

HomMap, ExtMap, TensorproductMap, TorMap

predefined (co-) functors (on morphisms)

IsHom, Kernel, Image, Cokernel

homomorphisms

ResolveShortExactSeq, ConnectingHom

snake lemma, etc.

ComposeFunctors

compose functors

LeftDerivedFunctor, RightDerivedCofunctor

derive functors

OreModules

- constructive module theory over Ore algebras
- syzygies, free resolutions, extension groups...

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for multidimensional linear systems:

- decide controllability and parametrizability,
- construct (minimal) parametrizations,
- compute Bezout identities,
- decide flatness (also π -freeness), etc.

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Main procedures of OreModules

DefineOreAlgebra

define an Ore algebra for the current session

SyzygyModule, FreeResolution, etc.

constructive module theory over Ore algebras

Exti

compute a presentation of $\text{ext}_D^i(M, D)$

Parametrization

construct a parametrization of a linear system

LeftInverse, RightInverse

compute left / right inverses of matrices

Brunovsky, ControllabilityMatrix, etc.

tools for linear control theory

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