# Non-commutative Computations with SINGULAR

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# **Origins Of Non-commutativity**

Let *C* be some algebra of functions ( $C^{\infty}$  etc). For any function  $f \in C$ , we introduce an operator

$$F: C \to C, F(t) = f \cdot t.$$

We call *f* a **representative** of *F*.  $\forall f, g \in C$  we have  $F \circ G = G \circ F$ .

## Definition

A map  $\partial : C \to C$  is called a **differential** if  $\partial$  is *C*-linear and  $\forall f, g \in C$ ,  $\partial(fg) = \partial(f)g + f\partial(g)$ .

In particular,  $\partial_i = \frac{\partial}{\partial t_i}$  on *C* are differentials.

#### News

Bad news: operators *F* and  $\partial_i$  do not commute. Good news:  $\partial_j \circ \partial_i = \partial_i \circ \partial_j$  and there is a relation between *F* and  $\partial_i$ .

# **Non–commutative Relations**

#### Lemma

For any differential  $\partial$  and  $f \in C$ ,  $\partial \circ F = F \circ \partial + \partial(f)$ .

## Proof.

 $\forall h \in C$ , we have the following:

$$(\partial \circ F)(h) = \partial(f \cdot h) = f \cdot \partial(h) + \partial(f) \cdot h =$$

$$=(F\circ\partial)(h)+\partial(f)\cdot(h)=(F\circ\partial+\partial(f))(h).$$

## Example

Let  $C = \mathbb{K}[t_1, \ldots, t_n]$  and  $\partial_i = \frac{\partial}{\partial t_i}$ . Then there is a *n*-th Weyl algebra  $\mathbb{K}\langle t_1, \ldots, t_n, \partial_1, \ldots, \partial_n | \{t_j t_i = t_i t_j, \partial_j \partial_i = \partial_i \partial_j, \partial_k t_k = t_k \partial_k + 1\} \cup \{\partial_j t_i = t_i \partial_j\}_{i \neq j}\rangle$ , an algebra of linear differentional operators with polynomial coefficients.

# More Non–commutative Relations

## Shift Algebra

For small  $riangle t \in \mathbb{R}$ , we define a shift operator

$$\sigma_t: \mathbf{C} \to \mathbf{C}, \ \sigma_t(f(t)) = f(t + \triangle t).$$

Then, since  $\sigma_t(f \cdot g) = \sigma_t(f) \cdot \sigma_t(g)$ , we define a real shift algebra  $\mathbb{K}(\triangle x)\langle x, \sigma_x \mid \sigma_x x = x\sigma_x + \triangle x\sigma_x \rangle$ .

## The Center of an Algebra

For a  $\mathbb{K}$ -algebra A, we define the center of A to be

$$Z(A) = \{ a \in A \mid a \cdot b = b \cdot a \, \forall b \in A \}.$$

It is a subalgebra of A, containing constants of  $\mathbb{K}$ .

# q-Calculus and Non-commutative Relations

Let *k* be a field of char 0 and  $\mathbb{K} = k(q)$ .

*q*-dilation operator

$$D_q: C \to C, \quad D_q(f(x)) = f(qx):$$

$$\mathbb{K}(q)\langle x, D_q \mid D_q \cdot x = q \cdot x \cdot D_q \rangle.$$

**Continuous** *q***–difference Operator** 

$$\Delta_q: C \to C, \ \Delta_q(f(x)) = f(qx) - f(x):$$

$$\mathbb{K}(q)\langle x, \Delta_q \mid \Delta_q \cdot x = q \cdot x \cdot \Delta_q + (q-1) \cdot x \rangle.$$

## *q*-differential Operator

$$\partial_q: \mathcal{C} \to \mathcal{C}, \ \partial_q(f(x)) = \frac{f(qx) - f(x)}{(q-1)x}:$$

$$\mathbb{K}(q)\langle x,\partial_q \mid \partial_q \cdot x = q \cdot x \cdot \partial_q + 1 \rangle.$$

# **Some Preliminaries**

Let  $\mathbb{K}$  be a field and R be a commutative ring  $R = \mathbb{K}[x_1, \ldots, x_n]$ .

 $\mathsf{Mon}(R) \ni x^{\alpha} = x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n} \mapsto (\alpha_1, \alpha_2, \dots, \alpha_n) = \alpha \in \mathbb{N}^n.$ 

## Definition

**1** a total ordering  $\prec$  on  $\mathbb{N}^n$  is called a well-ordering, if •  $\forall F \subseteq \mathbb{N}^n$  there exists a minimal element of F, in particular  $\forall a \in \mathbb{N}^n$ ,  $0 \prec a$ 2 an ordering  $\prec$  is called a **monomial ordering on** R, if  $\forall \alpha, \beta \in \mathbb{N}^n \, \alpha \prec \beta \implies \mathbf{X}^\alpha \prec \mathbf{X}^\beta$ ▶  $\forall \alpha, \beta, \gamma \in \mathbb{N}^n$  such that  $x^{\alpha} \prec x^{\beta}$  we have  $x^{\alpha+\gamma} \prec x^{\beta+\gamma}$ . 3 Any  $f \in \mathbb{R} \setminus \{0\}$  can be written uniquely as  $f = cx^{\alpha} + f'$ , with  $c \in \mathbb{K}^*$  and  $x^{\alpha'} \prec x^{\alpha}$  for any non-zero term  $c'x^{\alpha'}$  of f'. We define  $Im(f) = x^{\alpha}$ , the leading monomial of f lc(f) = c, the leading coefficient of f

# **Computational Objects**

# Suppose we are given the following data

**()** a field  $\mathbb{K}$  and a commutative ring  $R = \mathbb{K}[x_1, \ldots, x_n]$ ,

② a set 
$$C = \{c_{ij}\} \subset \mathbb{K}^*, 1 \le i < j \le n$$

**③** a set  $D = \{d_{ij}\} \subset R$ , 1 ≤ *i* < *j* ≤ *n* 

Assume, that there exists a monomial well–ordering  $\prec$  on R such that

$$\forall 1 \leq i < j \leq n, \ \operatorname{Im}(d_{ij}) \prec x_i x_j.$$

#### **The Construction**

To the data  $(R, C, D, \prec)$  we associate an algebra

$$\mathbf{A} = \mathbb{K} \langle \mathbf{x}_1, \dots, \mathbf{x}_n \mid \{ \mathbf{x}_j \mathbf{x}_i = \mathbf{c}_{ij} \mathbf{x}_i \mathbf{x}_j + \mathbf{d}_{ij} \} \ \forall 1 \le i < j \le n \rangle$$

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# **PBW Bases and** *G***–algebras**

Define the (i, j, k)-nondegeneracy condition to be the polynomial

 $NDC_{ijk} := c_{ik}c_{jk} \cdot d_{ij}x_k - x_kd_{ij} + c_{jk} \cdot x_jd_{ik} - c_{ij} \cdot d_{ik}x_j + d_{jk}x_i - c_{ij}c_{ik} \cdot x_id_{jk}.$ 

#### Theorem

 $A = A(R, C, D, \prec)$  has a PBW basis  $\{x_1^{\alpha_1}x_2^{\alpha_2}\dots x_n^{\alpha_n}\}$  if and only if

 $\forall 1 \le i < j < k \le n$ , NDC<sub>ijk</sub> reduces to 0 w.r.t. relations

**Easy Check**  $NDC_{ijk} = x_k(x_jx_i) - (x_kx_j)x_i$ .

## Definition

An algebra  $A = A(R, C, D, \prec)$ , where nondegeneracy conditions vanish, is called **a** *G***-algebra** (in *n* variables).

# Setting up G-algebras

After initializing a commutative ring R with the ordering  $\prec$ , one defines  $C_{ij}$  and  $D_{ij}$  and finally calls ncalgebra (C, D);

```
ring R = 0, (x,y,z),Dp;
int N = nvars(R);
matrix C[3][3];
C[1,2] = ...; C[1,3] = ...; C[2,3] = ...;
matrix D[N][N];
D[1,3] = ...;
ncalgebra(C,D);
```

## **Frequently Happening Errors**

- matrix is smaller in size than  $n \times n$ ;
- matrix C contain zeros in its upper part;
- the ordering condition is not satisfied.

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# Gel'fand-Kirillov dimension

Let *R* be an associative  $\mathbb{K}$ -algebra with generators  $x_1, \ldots, x_m$ .

# A degree filtration

Consider the vector space  $V = \mathbb{K}x_1 \oplus \ldots \oplus \mathbb{K}x_m$ . Set  $V_0 = \mathbb{K}$ ,  $V_1 = \mathbb{K} \oplus V$  and  $V_{n+1} = V_n \oplus V^{n+1}$ . For any fin. gen. left *R*-module *M*, there exists a fin.-dim. subspace  $M_0 \subset M$  such that  $RM_0 = M$ .

An ascending filtration on *M* is defined by  $\{H_n := V_n M_0, n \ge 0\}$ .

# Definition

The **Gel'fand–Kirillov dimension** of *M* is defined to be

$$\mathsf{GKdim}(M) = \lim_{n \to \infty} \sup \log_n(\dim_{\mathbb{K}} H_n)$$

Implementation: GKDIM.LIB, function GKdim. Uses Gröbner basis.

Viktor Leva	andovsky	y (RISC)
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# Factor-algebras

We say that a *GR*–algebra  $A = A/T_A$  is a factor of a *G*–algebra in *n* variables *A* by a proper two–sided ideal  $T_A$ .

## **Two-sided Gröbner Bases**

A set of generators F is called a two-sided Gröbner basis, if it is a left and a right Gröbner basis at the same time.

Implementation: command twostd.

## Note

• there are algebras without nontrivial two-sided ideals (Weyl)

• a two-sided ideal is usually bigger than the left ideal, built on the same generating set

# **Examples of** *GR***–algebras**

- algebras of solvable type, skew polynomial rings
- univ. enveloping algebras of fin. dim. Lie algebras
- quasi-commutative algebras, rings of quantum polynomials
- positive (resp. negative) parts of quantized enveloping algebras
- some iterated Ore extensions, some nonstandard quantum deformations, some quantum groups
- Weyl, Clifford, exterior algebras
- Witten's deformation of  $U(\mathfrak{sl}_2)$ , Smith algebras
- algebras, associated to (q-)differential, (q-)shift, (q-)difference and other linear operators

Ο ...

# **Gröbner Basis: Preparations**

## Definition

We say that monomial  $x^{\alpha}$  **divides** monomial  $x^{\beta}$ , if  $\alpha_i \leq \beta_i \quad \forall i = 1 \dots n$ . We use the notation  $x^{\alpha} \mid x^{\beta}$ .

It means that  $x^{\beta}$  is **reducible** by  $x^{\alpha}$  from the right, from the left and from both sides. A left divisibility means that there exist  $c \in \mathbb{K} \setminus \{0\}$ ,  $p \in Mon(A)$  and  $r \in A$  such that  $Im(r) \prec x^{\alpha}$  and  $x^{\beta} = c \cdot p \cdot x^{\alpha} + r$ .

#### Definition

Let  $\prec$  be a monomial ordering on  $A^r$ ,  $I \subset A^r$  be a left submodule and  $G \subset I$  be a finite subset. *G* is called a **left Gröbner basis** of *I*, if  $\forall f \in I \smallsetminus \{0\}$  there exists a  $g \in G$  satisfying  $\operatorname{Im}(g) \mid \operatorname{Im}(f)$ .

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# **Normal Form**

# Definition

Let  $\mathcal{G}$  denote the set of all finite and ordered subsets  $G \subset A^r$ . A map NF :  $A^r \times \mathcal{G} \to A^r$ ,  $(f, G) \mapsto NF(f|G)$ , is called a **(left) normal** form on  $A^r$  if, for all  $f \in A^r$ ,  $G \in \mathcal{G}$ ,

1 NF(0 | G) = 0,  
2 NF(f|G) 
$$\neq$$
 0  $\Rightarrow$  Im(NF(f|G))  $\notin$  L(G),  
3  $f$  - NF(f|G)  $\in$  <sub>A</sub>(G).

Let  $G = \{g_1, \ldots, g_s\} \in \mathcal{G}$ . A representation  $f = \sum_{i=1}^s a_i g_i$ ,  $a_i \in A$  of  $f \in {}_A\langle G \rangle$ , satisfying  $\operatorname{Im}(a_i g_i) \preceq \operatorname{Im}(f)$  for all  $1 \leq i \leq s$  such that  $a_i g_i \neq 0$  is called a **standard left representation** of *f* with respect to *G*.

# Left Buchberger's Criterion

## Definition

Let  $f, g \in A^r$  with  $\operatorname{Im}(f) = x^{\alpha} e_i$  and  $\operatorname{Im}(g) = x^{\beta} e_j$ . Set  $\gamma = \mu(\alpha, \beta)$ ,  $\gamma_i := \max(\alpha_i, \beta_i)$  and define the **left s-polynomial** of (f, g) to be LeftSpoly $(f, g) := x^{\gamma-\alpha} f - \frac{\operatorname{lc}(x^{\gamma-\alpha} f)}{\operatorname{lc}(x^{\gamma-\beta} g)} x^{\gamma-\beta} g$  if i = j and 0 otherwise.

#### Theorem

Let  $I \subset A^r$  be a left submodule and  $G = \{g_1, \ldots, g_s\}, g_i \in I$ . Let LeftNF( $\cdot | G$ ) be a left normal form on  $A^r$  w.r.t G. Then the following are equivalent:

- G is a left Gröbner basis of I,
- 2 LeftNF(f|G) = 0 for all  $f \in I$ ,
- **③** each  $f \in I$  has a left standard representation with respect to G,
- LeftNF(LeftSpoly( $g_i, g_j$ )|G) = 0 for 1  $\leq i, j \leq s$ .

# **Gröbner basics**

# Gröbner Basics are ...

...the most important and fundamental applications of Gröbner Bases.

- Ideal (resp. module) membership problem (NF, REDUCE)
- Intersection with subrings (elimination of variables) (ELIMINATE)
- Intersection of ideals (resp. submodules) (INTERSECT)
- Quotient and saturation of ideals (QUOT)
- Kernel of a module homomorphism (MODULO)
- Kernel of a ring homomorphism (NCPREIMAGE.LIB)
- Algebraic relations between pairwise commuting polynomials
- Hilbert polynomial of graded ideals and modules

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# **Anomalies With Elimination**

## **Contrast to Commutative Case**

In terminology, we rather use "intersection with subalgebras" instead of "elimination of variables", since the latter may have no sense.

Let  $A = \mathbb{K}\langle x_1, \ldots, x_n | \{x_j x_i = c_{ij} x_i x_j + d_{ij}\}_{1 \le i < j \le n}\rangle$  be a *G*-algebra. Consider a subalgebra  $A_r$ , generated by  $\{x_{r+1}, \ldots, x_n\}$ . We say that such  $A_r$  is an *admissible subalgebra*, if  $d_{ij}$  are polynomials in  $x_{r+1}, \ldots, x_n$  for  $r+1 \le i < j \le n$  and  $A_r \subsetneq A$  is closed in itself w. r. t. the multiplication and it is a *G*-algebra.

## **Definition (Elimination ordering)**

Let *A* and *A<sub>r</sub>* be as before and  $B := \mathbb{K}\langle x_1, \ldots, x_r | \ldots \rangle \subset A$ An ordering  $\prec$  on *A* is an **elimination ordering for**  $x_1, \ldots, x_r$ if for any  $f \in A$ ,  $\operatorname{Im}(f) \in B$  implies  $f \in B$ .

# **Anomalies With Elimination: Conclusion**

# "Elimination of variables $x_1, \ldots, x_r$ from an ideal *l*"

means the intersection  $I \cap A_r$  with an admissible subalgebra  $A_r$ . In contrast to the commutative case:

- not every subset of variables determines an admissible subalgebra
- there can be no admissible elimination ordering  $\prec_{A_r}$

## Example

Consider the algebra  $A = \mathbb{K}\langle a, b \mid ba = ab + b^2 \rangle$ . It is a *G*-algebra with respect to any well-ordering, such that  $b^2 \prec ab$ , that is  $b \prec a$ . Any elimination ordering for *b* must satisfy  $b \succ a$ , hence *A* is not a *G*-algebra w.r.t. any elimination ordering for *b*. The Gröbner basis of a two-sided ideal, generated by  $b^2 - ba + ab$  in  $\mathbb{K}\langle a, b \rangle$  is infinite and equals to  $\{ba^{n-1}b - \frac{1}{n}(ba^n - a^nb) \mid n \ge 1\}$ .

# Non-commutative Gröbner basics

For the noncommutative PBW world, we need even more:

- Gel'fand–Kirillov dimension of a module (GKDIM.LIB)
- Two-sided Gröbner basis of a bimodule (twostd)
- Central Character Decomposition of a module (NCDECOMP.LIB)
- Preimage of a module under algebra morphism
- One–dimensional representations
- Ext and Tor modules for centralizing bimodules (NCHOMOLOG.LIB)
- Maximal two-sided ideal in a left ideal (NCANN.LIB in work)
- Check whether a module is simple
- Center of an algebra and centralizers of polynomials
- Operations with opposite and enveloping algebras

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# Implementation in **PLURAL**

## What is PLURAL?

- PLURAL is the kernel extension of SINGULAR
- PLURAL is distributed with SINGULAR (from version 3-0-0 on)
- freely distributable under GNU Public License
- available for most hardware and software platforms

# PLURAL as a Gröbner engine

- implementation of all the Gröbner basics available
- slimgb is available for Plural (and it is fast!)
- janet is available for two-sided input
- non–commutative Gröbner basics:
  - as kernel functions (twostd, opposite etc)
  - as libraries (NCDECOMP.LIB, NCTOOLS.LIB, NCPREIMAGE.LIB etc)

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# Surprize

## Announcement

The newest addition to SINGULAR:PLURAL is the library DMOD.LIB, containing algorithms of algebraic *D*–Module Theory. A joint work of V. Levandovskyy (RISC) and J. M. Morales (Sevilla).

## Functionality: an algorithm ANNFS

- Oaku–Takayama approach (ANNFSOT command)
- Brianson–Maisonobe approach (ANNFSBM command)
- a so-called Bernstein polynomial is computed within both approaches

Constructively: two bigger rings are constructed and two eliminations are applied in a sequence.

Complexity of such computations is high!

# D-modules

## What's Behind

Let  $R = \mathbb{K}[x_1, \ldots, x_n]$  and  $f \in R$ . We are interested in  $R[f^{-s}] = \mathbb{K}[x_1, \ldots, x_n, \frac{1}{f^s}]$  as an R-module for  $s \in \mathbb{N}$ . On the one hand,  $R[f^{-s}] \cong R[y]/\langle yf^s - 1 \rangle$ . On the other hand,  $R[f^{-s}]$  is a D-module, where D is the n-th Weyl algebra  $\mathbb{K}\langle x_1, \ldots, x_n, \partial_1, \ldots, \partial_n | \{\partial_j x_i = x_i \partial_j + \delta_{ij}\}\rangle$ . The algorithm ANNFs computes a D-module structure on  $R[f^{-s}]$ , that is a left ideal  $I \subset D$ , such that  $R[f^{-s}] \cong D/I$ .

Especially interesting are cases when f is irreducible singular, reducibly singular or when f is a hyperplane arrangement.

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# Perspectives

## Gröbner bases for more non-commutative algebras

• tensor product of commutative local algebras with certain non-commutative algebras (e.g. with exterior algebras for the computation of direct image sheaves)

different localizations of G-algebras

- localization at some "coordinate" ideal of commutative variables (producing e.g. local Weyl algebras K[x]<sub>⟨x⟩</sub>⟨D | Dx = xD + 1⟩)
- ⇒ local orderings and the generalization of standard basis algorithm, Gröbner basics and homological algebra
  - Iocalization as field of fractions of commutative variables (producing e.g. rational Weyl algebras K(x)⟨D | Dx = xD + 1⟩), including Ore Algebras (F. Chyzak, B. Salvy)
- ⇒ global orderings and a generalization Gröbner basis algorithm. However, conceptually new problems arise, Gröbner basics require rethinking and distinct theoretical treatment

# Thank you !

# **SINGULAR** PLURAL

## Please visit the SINGULAR homepage

• http://www.singular.uni-kl.de/

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