

# The LEX Game

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## Standard monomials

$K$  field,  $K[x_1, \dots, x_n] = K[\mathbf{x}]$  polynomial ring in  $n$  variables

$\mathbf{w} = (w_1, \dots, w_n) \in \mathbb{N}^n$ , a monomial  $\mathbf{x}^{\mathbf{w}} = x_1^{w_1} \dots x_n^{w_n}$

$\prec$  lexicographic ordering

Let  $I \trianglelefteq K[\mathbf{x}]$  be an ideal.

$\text{Lm}(I) = \langle \text{lm}(f) : f \in I \rangle$ ;

**standard monomials of  $I$** : the other monomials:

$$\text{Sm}(I) = \text{Mon}_n \setminus \text{Lm}(I)$$

**Theorem**  $\text{Sm}(I)$  is a linear basis of the vector space  $K[\mathbf{x}]/I$ .

# Primary decomposition of zero dimensional ideals

Let  $I \trianglelefteq K[x]$  be zero dimensional.

**Theorem** *There are uniquely determined ideals  $Q_j$  such that*

$$I = \prod_{j=1}^k Q_j$$

*and  $M_j := \sqrt{Q_j}$  are pairwise different maximal ideals.*

$I$  is a **splitting ideal** if all  $M = \langle x_1 - a_1, \dots, x_n - a_n \rangle$  for some  $a \in K^n$ .

From now on,  $I$  is a zero dimensional splitting ideal, thus

$$I = \prod_{a \in V} Q_a$$

for a finite  $V \subseteq K^n$ .

## Vanishing ideal of a finite set of points

Let  $V \subseteq K^n$  be finite.

$$I(V) := \{f(\mathbf{x}) \in K[\mathbf{x}] : f \text{ vanishes on } V\}$$

Then

$$I = \prod_{\mathbf{a} \in V} M_{\mathbf{a}}$$

$$M_{\mathbf{a}} = \langle x_1 - a_1, \dots, x_n - a_n \rangle.$$

# The LEX Game

$$I = \prod_{a \in V} Q_a$$

Lex( $I$ ;  $w$ ):

1 Lea chooses  $w_n$  (not necessarily different) elements of  $K$ .

Stan picks an  $a_n \in K$ .

They set  $r_n$  to be the multiplicity of  $a_n$  among Lea's guesses.

2 Lea chooses  $w_{n-1}$  elements of  $K$ .

Stan picks an  $a_{n-1} \in K$ .

They set the result  $r_{n-1}$ .

... (The game goes on in the same fashion.)

$n$  Lea chooses  $w_1$  elements of  $K$ .

Stan picks an  $a_1 \in K$ .

They set the result  $r_1$ .

Put  $\mathbf{r} = (r_1, \dots, r_n) \in \mathbb{N}^n$ .

Stan wins iff  $\mathbf{a} \in V$  and  $\mathbf{x}^{\mathbf{r}} \in \text{Sm}(Q_{\mathbf{a}})$ .

## Example game

If  $I = I(V)$  then all  $\text{Sm}(Q_a) = \text{Sm}(M_a) = \{1\}$  so Stan wins iff  $r = 0$ .

Let  $n = 5$ ,

$V \subseteq \{\alpha, \beta\}^5$  s.t. in  $a \in V$  there is exactly 1, 2 or 3  $\alpha$ .

$I := I(V)$

With  $w = (11100)$  Lea has winning strategy.

With  $w = (01110)$  Stan has winning strategy.

## The theorem

$\text{Stan}(I) := \{\mathbf{x}^{\mathbf{w}} : \text{Stan wins Lex}(I; \mathbf{w})\}$  the **Stan monomials**.

**Theorem**  $\text{Stan}(I(V)) = \text{Sm}(I(V))$ .

### *Example*

With  $\mathbf{w} = (11100)$  Lea wins, thus  $x_1x_2x_3 \in \text{Lm}(I(V))$ ;

but with  $\mathbf{w} = (01110)$  Stan can win, so  $x_2x_3x_4 \in \text{Sm}(I(V))$ .

## A part of the proof

$\text{Stan}(I(V)) \supseteq \text{Sm}(I(V))$ , ie  
Lea wins with  $\mathbf{w} \Rightarrow \mathbf{x}^{\mathbf{w}} \in \text{Lm}(I(V))$

Proof:

$$f(\mathbf{x}) = \prod_{j=1}^n \left( \prod_{i=1}^{w_j} (x_j - f_{j,i}(x_{j+1}, \dots, x_n)) \right)$$

where  $f_{j,1}, f_{j,2} \dots f_{j,w_j}$  are Lea's guesses for  $a_j$ .

$f_{j,i}$  can be considered as a polynomial  $\Rightarrow f(\mathbf{x}) \in K[\mathbf{x}]$

$f(\mathbf{x})$  vanishes on  $V$   $\Rightarrow f(\mathbf{x}) \in I(V)$

$\text{lm}(f(\mathbf{x})) = \mathbf{x}^{\mathbf{w}}$   $\Rightarrow \mathbf{x}^{\mathbf{w}} \in \text{Lm}(I(V))$



## Standard monomials of permutations

Let  $n = 4$  and  $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in K$  distinct elements.

$V = \{(\pi(\alpha_1), \pi(\alpha_2), \pi(\alpha_3), \pi(\alpha_4)) :$

$\cdot \quad \pi \text{ is a permutation of } \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\} \}$ .

(Thus  $4! = |V|$ .)

Who wins the game  $\text{Lex}(I(V); \mathbf{w} = (0, 1, 2, 3))$ ?

And the games

$\text{Lex}(I(V); (0, 0, 0, 4))$ ,

$\text{Lex}(I(V); (0, 0, 3, 0))$ ,

$\text{Lex}(I(V); (0, 2, 0, 0))$ ,

$\text{Lex}(I(V); (1, 0, 0, 0))$ ?

Answering these, we have determined the set  $\text{Sm}(I(V))$ .

# Field independence of the Gröbner basis

## Corollary

Let  $V$  be such that  $V \subseteq \{0, 1\}^n$ . Then for all fields  $K$

(1) the set  $\text{Sm}(I_K(V))$  is the same,

(2) the reduced Gröbner basis  $G$  of  $I_K(V)$  is the same.

Proof: (2)

Sufficient: the reduced Gb  $G$  of  $I_{\mathbb{Q}}(V)$  has integer coefficients.

Suppose that  $g \in G$  and  $z \in \mathbb{Z}$  minimal such that  $z \cdot g(\mathbf{x}) \in \mathbb{Z}[\mathbf{x}]$ .

If  $p \mid z$  a prime then consider  $zg(x)$  in  $\mathbb{F}_p$ :

$$\text{lm}_{\mathbb{F}_p}(zg(x)) \prec \text{lm}_{\mathbb{Q}}(zg(x))$$

Thus  $\text{lm}_{\mathbb{F}_p}(zg(x)) \in \text{Sm}(I(V))$  a contradiction.

## Recursive structure of $\text{Sm}(I(V))$

$V_\alpha = \{(a_1, \dots, a_{n-1}) : (a_1, \dots, a_{n-1}, \alpha) \in V\}$  (prefixes of  $V$ )

If  $r_n = 0$  in  $\text{Lex}(I(V); \mathbf{w}) \Rightarrow \text{Lex}(I(V_\alpha); (w_1, \dots, w_{n-1}))$ .

### Corollary

Let  $n > 1$ . Then  $\mathbf{x}^{\mathbf{w}} \in \text{Sm}(I(V)) \iff$  there exist  
at least  $w_n + 1$  such  $\alpha$  that  $x_1^{w_1} \dots x_{n-1}^{w_{n-1}} \in \text{Sm}(I(V_\alpha))$ .

### Proof:

Stan wins  $\text{Lex}(I(V); (w_1, \dots, w_n)) \iff$

there exist at least  $w_n + 1$  such  $\alpha$  that

Stan wins  $\text{Lex}(I(V_\alpha); (w_1, \dots, w_{n-1}))$ . □

$\Rightarrow$  fast algorithm for computing  $\text{Sm}(I(V))$ .

## Other splitting ideals

$$I = \prod_{a \in V} Q_a$$

**Theorem** Suppose that all  $Q_a$  is generated by polynomials of the form  $(\mathbf{x} - \mathbf{a})^{\mathbf{w}}$  (with  $\mathbf{w} \in \mathbb{N}^n$ ) (I is *locally monomial*).

Then  $\text{Stan}(I) = \text{Sm}(I)$ .

**Theorem** Suppose that  $V$  is such that  $\mathbf{a}, \mathbf{b} \in V$  and

$a_{n-1} = b_{n-1}, a_n = b_n$  implies  $\mathbf{a} = \mathbf{b}$ .

Then  $\text{Stan}(I) = \text{Sm}(I)$ .

### Example

Let  $Q_{(0,0,0)} = \langle x^3, y^2, z^3, xy + z^2 \rangle$  and

$Q_{(1,0,0)} = \langle (x-1)^3, y^2, z^3, (x-1)y + z^2 \rangle,$

$I = Q_{(0,0,0)} \cdot Q_{(1,0,0)}.$

Then  $x^2y \in \text{Sm}(I) \setminus \text{Stan}(I)$  and  $x^4z^2 \in \text{Stan}(I) \setminus \text{Sm}(I).$

## Properties of $\text{Stan}(I)$

$$I = \prod_{\mathbf{a} \in V} Q_{\mathbf{a}}$$

Let

$$Q'_{\mathbf{a}} := \langle (\mathbf{x} - \mathbf{a})^{\mathbf{w}} : \mathbf{x}^{\mathbf{w}} \in \text{Lm}(Q_{\mathbf{a}}) \rangle$$

and

$$I' := \prod_{\mathbf{a} \in V} Q'_{\mathbf{a}}$$

Then  $I'$  is locally monomial, so  $\text{Sm}(I') = \text{Stan}(I') = \text{Stan}(I)$ .

### Conjecture

$\text{Stan}(I)$  is a monomial linear basis of  $K[\mathbf{x}]/I$ .