



# Diffraction Tomography for Generalized Incident Fields

joint work with Otmar Scherzer and Clemens Kirisits

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**RICAM special semester**

**Workshop 2: "Inverse Problems on Small Scales"**

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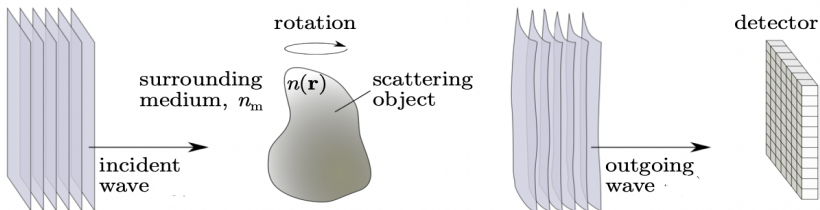


Figure: Conceptual setup, tomographic data acquisition. <sup>1</sup>

<sup>1</sup>from P. Müller, M. Schürmann, and J. Guck. "The Theory of Diffraction Tomography", 2016

# Inverse scattering problem

- $n : \mathbb{R}^3 \rightarrow \mathbb{C}$  refractive index,  
 $n(\mathbf{r}) = 1$  outside of  $\mathcal{B}_{r_s}(\mathbf{0})$
- $k_0 = \omega/c_0$  wave number
- Consider the system

$$\begin{aligned}\Delta u^{\text{inc}} + k_0^2 u^{\text{inc}} &= 0 \\ \Delta u^{\text{tot}} + k_0^2 n^2 u^{\text{tot}} &= 0 \\ u^{\text{tot}} &= u^{\text{sca}} + u^{\text{inc}}\end{aligned}$$

- $f(\mathbf{r}) := k_0^2 [n(\mathbf{r})^2 - 1]$  scattering potential
- $\{\mathbf{r} \in \mathbb{R}^3 \mid r_3 = \pm r_M\}$  detector plane
- Measurement data  $\mathcal{M}(r_1, r_2, \pm r_M) := [u^{\text{tot}} - u^{\text{inc}}](r_1, r_2, \pm r_M)$  include information about **amplitudes** and **phase**.

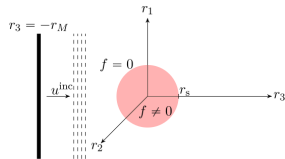
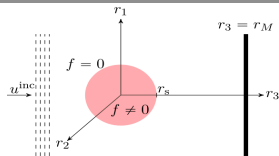


Figure: Reflection and transmission imaging <sup>2</sup>

Reconstruct the scattering potential  $f(\mathbf{r})$  from the received information  $\mathcal{M}(r_1, r_2, \pm r_M)$ .

<sup>2</sup>from C. Kirisits, M. Quellmalz, M. Ritsch-Marte, O. Scherzer, E. Setterqvist, and G. Steidl. "Fourier reconstruction for diffraction tomography of an object rotated into arbitrary orientations", 2021.

**Diffraction tomography** provides under **Born approximation** an analytical solution to the inverse scattering problem.

It is valid if...

- ... $n$  differs only weakly from the homogeneous background (small contrast)
- ...scattering is sufficiently weak such that multiple scattering can be neglected

and highly efficient with respect to computational time!

We combine the system and obtain

$$\left. \begin{aligned} \Delta u^{\text{inc}} + k_0^2 u^{\text{inc}} &= 0 \\ \Delta u^{\text{tot}} + k_0^2 n^2 u^{\text{tot}} &= 0 \\ u^{\text{tot}} &= u^{\text{sca}} + u^{\text{inc}} \end{aligned} \right\} \longrightarrow \Delta u^{\text{sca}} + k_0^2 u^{\text{sca}} = -f(u^{\text{inc}} + u^{\text{sca}}).$$

Assuming  $u^{\text{sca}} \ll u^{\text{inc}}$  we obtain the **Born approximation**  $u$ , that satisfies

$$\Delta u + k_0^2 u = -f u^{\text{inc}}$$

and a convolution with the fundamental solution  $\mathbf{x} \rightarrow G(\mathbf{x}) = \frac{e^{ik_0 \|\mathbf{x}\|}}{4\pi \|\mathbf{x}\|}$ ,  $\mathbf{x} \neq 0$  gives

$$u(\mathbf{r}) = \int_{\mathbb{R}^3} G(\mathbf{r} - \mathbf{r}') f(\mathbf{r}') u^{\text{inc}}(\mathbf{r}') d\mathbf{r}', \quad \mathbf{r} \in \mathbb{R}^3$$

In conventional diffraction tomography  $u^{\text{inc}}$  is assumed to be a **monochromatic plane wave**

$$u^{\text{inc}}(\mathbf{r}) = e^{ik_0 \mathbf{s}_0 \cdot \mathbf{r}}$$

arriving from the direction  $\mathbf{s}_0 \in \mathbb{S}^2$ .

For this case there are reconstruction algorithms of back-propagation type:

- A. C. Kak and M. Slaney. “Principles of Computerized Tomographic Imaging”, 2001.
- A. Devaney. “A filtered backpropagation algorithm for diffraction tomography”, 1982.
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What if the plane wave assumption is not compatible with the emitting device used in practice?

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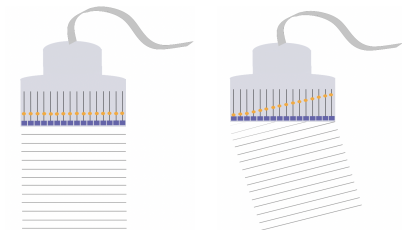
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What if the plane wave assumption is not compatible with the emitting device used in practice?

Novel ultrasound devices allow to control the shape of the incident wave:



- Limited width of the plane wave
- Focused imaging

→ Make diffraction tomography applicable to these setups.

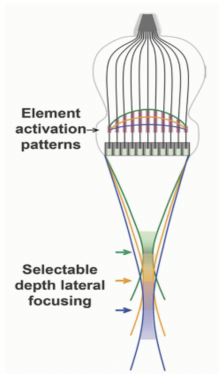


Figure: beam focusing<sup>3</sup>

<sup>3</sup>from <https://radiologykey.com/ultrasound-12/>



We extend the incident wave to be a **superposition of plane waves** interacting with different propagation directions:

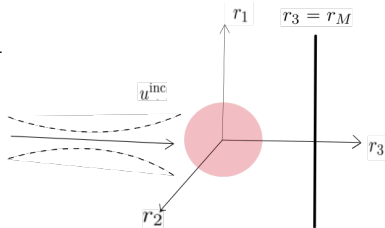
$$u^{\text{inc}}(\mathbf{r}) = \int_{\mathbb{S}^2} a(\mathbf{s}) e^{ik_0 \mathbf{s} \cdot \mathbf{r}} d\mathbf{s},$$

where  $a \in L^2(\mathbb{S}^2)$ .

Then, the resulting scattered wave reads as

$$u(\mathbf{r}) = \int_{\mathbb{S}^2} a(\mathbf{s}) \int_{\mathbb{R}^3} \frac{e^{ik_0(\|\mathbf{r}-\mathbf{r}'\| + \mathbf{r}' \cdot \mathbf{s})}}{4\pi\|\mathbf{r}-\mathbf{r}'\|} f(\mathbf{r}') d\mathbf{r}' d\mathbf{s}, \quad \mathbf{r} \in \mathbb{R}^3$$

and is evaluated at the measurement plane.



We define

- $\bar{\mathbf{k}} := (k_1, k_2) \in \mathbb{R}^2$
- $\mathbf{k}^\pm = \mathbf{k}^\pm(\bar{\mathbf{k}}) := (\bar{\mathbf{k}}, \pm\kappa)^\top$
- $\kappa = \kappa(\bar{\mathbf{k}}) := \begin{cases} \sqrt{k_0^2 - |\bar{\mathbf{k}}|^2}, & |\bar{\mathbf{k}}| \leq k_0 \\ i\sqrt{|\bar{\mathbf{k}}|^2 - k_0^2}, & \bar{\mathbf{k}} > k_0 \end{cases}$
- $G_1^\kappa(r_M) = \sqrt{\frac{\pi}{2}} \frac{i}{\kappa} e^{i\kappa|r_M|}$

and perform the two-dimensional Fourier transform, such that

$$\mathcal{F}_{1,2}u(\bar{\mathbf{k}}, \pm r_M) = G_1^\kappa(r_M) \int_{\mathbb{S}^2} a(\mathbf{s}) \mathcal{F}f(\mathbf{k}^\pm - k_0\mathbf{s}) d\mathbf{s}, \quad \bar{\mathbf{k}} \in \mathbb{R}^2, |\bar{\mathbf{k}}| < k_0$$

gives the relation between the recorded scattered wave and the scattering potential.<sup>4</sup>

<sup>4</sup>follows from Theorem 3.1 in C. Kirisits, M. Quellmalz, M. Ritsch-Marte, O. Scherzer, E. Setteqvist, and G. Steidl. "Fourier reconstruction for diffraction tomography of an object rotated into arbitrary orientations", 2021.

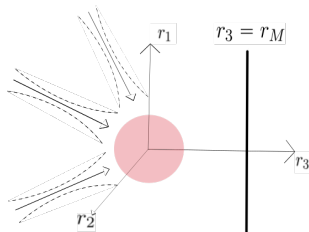
## Measurement setup

For 3D tomographic reconstruction:

- rotate the direction of incidence by  $\mathcal{R} \in SO(3)$
- $u^{\text{inc}}(\mathbf{r}, \mathcal{R}) = \int_{\mathbb{S}^2} a(\mathbf{s}) e^{ik_0 \mathcal{R}^T \mathbf{s} \cdot \mathbf{r}} d\mathbf{s}$
- resulting scattered wave  $u(\mathbf{r}, \mathcal{R})$  satisfies

$$(\Delta + k_0^2)u(\mathbf{r}, \mathcal{R}) = -f(\mathbf{r})u^{\text{inc}}(\mathbf{r}, \mathcal{R})$$

- measurements given by  $\mathcal{M}(\bar{\mathbf{k}}, \pm r_M, \mathcal{R}) = \mathcal{F}_{1,2} u(\bar{\mathbf{k}}, \pm r_M, \mathcal{R})$



We propose the following setups:

- Rotation of incidence direction and fixed measurement plane
- Rotation of the object

The measurements are related to the scattering potential via

$$\mathcal{M}(\bar{\mathbf{k}}, \pm r_M, \mathcal{R}) = G_1^{\mathcal{K}}(r_M) \int_{\mathbb{S}^2} a(\mathcal{R}^T \mathbf{s}) \mathcal{F}f(\mathbf{k}^{\pm} - k_0 \mathbf{s}) d\mathbf{s},$$

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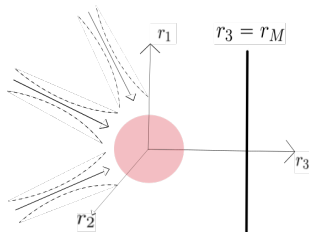
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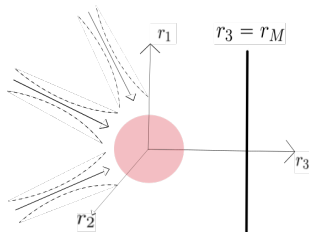
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We rewrite the equation to

$$\mathcal{M}(\mathcal{R}) = G_1^k(r_M) \int_{\mathbb{S}^2} a(\mathcal{R}^T \mathbf{s}) g(\mathbf{s}) d\mathbf{s},$$

where  $g(\mathbf{s}) = \mathcal{F}f(\mathbf{k}^\pm - k_0\mathbf{s})$  for fixed  $\bar{\mathbf{k}} \in \mathbb{R}^2$ .

- $g \in L^2(\mathbb{S}^2)$  has a expansion in spherical Fourier series

$$g(\mathbf{s}) = \sum_{n \geq 0} \sum_{k=-n}^n g_n^k Y_n^k(\mathbf{s}),$$

where the basis elements  $Y_n^k$  are **spherical harmonics** (Basis of  $L^2(\mathbb{S}^2)$ ).

- The rotated function  $a \in L^2(\mathbb{S}^2)$  has the expansion

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where  $a_n^k = \langle a, Y_n^k \rangle_{L^2(\mathbb{S}^2)}$  and  $D_n^{j,k}$  are **Wigner D-matrices**.<sup>5</sup>

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$$\mathcal{M}(\mathcal{R}) = G_1^\kappa(r_M) \int_{\mathbb{S}^2} a(\mathcal{R}^T \mathbf{s}) g(\mathbf{s}) d\mathbf{s}, \quad \text{for all } \mathcal{R} \in SO(3)$$

Using

- the basis representations of  $a, g \in L^2(\mathbb{S}^2)$  and
- calculating the rotational Fourier coefficients  $\mathcal{M}_n^{j,k} = \langle \mathcal{M}, D_n^{j,k} \rangle_{L^2(SO(3))}$ ,
- the orthogonality properties

$$\langle Y_n^k, Y_{n'}^{k'} \rangle_{L^2(\mathbb{S}^2)} = \delta_{n,n'} \delta_{k,k'}$$

$$\langle D_n^{j,k}, D_{n'}^{j',k'} \rangle_{L^2(SO(3))} = \frac{8\pi^2}{2n+1} \delta_{n,n'} \delta_{j,j'} \delta_{k,k'}$$

gives the spherical Fourier coefficients

$$g_n^j = \frac{2n+1}{8\pi^2 G_1^\kappa(r_M)} \frac{\mathcal{M}_n^{j,k}}{a_n^k}, \quad \text{for all } n \in \mathbb{N}_0, j, k = -n \dots, n.$$

Let  $\mathcal{M} \in L^2(SO(3))$  and  $a \in L^2(\mathbb{S}^2)$  be given. Find  $g \in L^2(\mathbb{S}^2)$  from

$$\mathcal{M}(\mathcal{R}) = G_1^\kappa(r_M) \int_{\mathbb{S}^2} a(\mathcal{R}^\top \mathbf{s}) g(\mathbf{s}) d\mathbf{s},$$

for all  $\mathcal{R} \in SO(3)$ .

Calculate

- 1  $a_n^k = \langle a, Y_n^k \rangle_{L^2(\mathbb{S}^2)}$ , for all  $n \in \mathbb{N}_0$ ,  $k = -n \dots, n$ ,
- 2  $\mathcal{M}_n^{j,k} = \langle \mathcal{M}, D_n^{j,k} \rangle_{L^2(SO(3))}$ , for all  $n \in \mathbb{N}_0$ ,  $j, k = -n \dots, n$ ,
- 3  $g_n^j = \frac{2n+1}{8\pi^2 G_1^\kappa(r_M)} \frac{\mathcal{M}_n^{j,k}}{a_n^k}$ , for all  $n \in \mathbb{N}_0$ ,  $j, k = -n \dots, n$ ,

then we reconstruct

$$g(\mathbf{s}) = \sum_{n \geq 0} \sum_{j=-n}^n g_n^j Y_n^j(\mathbf{s}).$$

Finally, we obtain the relation

$$\sum_{n \geq 0} \sum_{j=-n}^n \frac{2n+1}{8\pi^2 G_1^\kappa(r_M)} \frac{\mathcal{M}_n^{j,k}(\bar{\mathbf{k}})}{a_n^k} Y_n^j(\mathbf{s}) = \mathcal{F}f(\mathbf{k}^\pm - k_0\mathbf{s}),$$

for all  $\bar{\mathbf{k}} \in \mathbb{R}^2$ ,  $|\bar{\mathbf{k}}| < k_0$ ,  $\mathbf{s} \in \mathbb{S}^2$  and  $n \in \mathbb{N}_0$ ,  $j, k = -n \dots, n$ .

- scattering potential  $f$  can be obtained via the **inverse Fourier transformation**
- measurements provide access to  $f$  on a volume

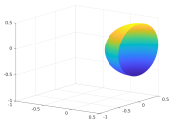
$$\{(\mathbf{k}^\pm - k_0\mathbf{s}) : \bar{\mathbf{k}} \in \mathbb{R}^2, |\bar{\mathbf{k}}| < k_0, \mathbf{s} \in \mathbb{S}^2\}$$

in  $\mathbf{k}$ -space.

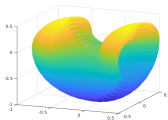
3D frequency coverage for **reflection imaging** according to a parametrization  $\mathbf{s}(\theta, \varphi) \in \mathbb{S}^2$  reads as

$$\left\{ \begin{pmatrix} k_1 - k_0 \sin \theta \cos \varphi \\ k_2 - k_0 \sin \theta \sin \varphi \\ -\kappa(\bar{\mathbf{k}}) - k_0 \cos \theta \end{pmatrix} : \bar{\mathbf{k}} \in \mathbb{R}^2, |\bar{\mathbf{k}}| < k_0, \theta \in [0, \pi], \varphi \in [0, 2\pi) \right\}$$

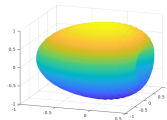
and we illustrate for  $k_0 = 0.5$ :



$$\theta = 0, \varphi = 0$$



$$\theta = [0, \pi], \varphi = 0$$



$$\theta = [0, \pi], \varphi = [0, 2\pi)$$

- Currently, we work on implementation and numerical tests
- **Limited view:** Reconstructing  $f$  from a few rotation angles, i.e. if the available measurement data are limited.

Thank you!