

# Sampling the X-ray transform on simple surfaces

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RICAM Semester  
W2 “Inverse Problems at Small Scales”  
October 18, 2022

Joint with Plamen Stefanov (Purdue)



UNIVERSITY OF CALIFORNIA  
**SANTA CRUZ**



- 1 Introduction
- 2 The X-ray transform on CCD's
  - Coordinate systems
  - Canonical relation, microlocal range
- 3 Sampling issues
  - Sharp sampling rates
  - Predicting aliasing artifacts
  - Non 'box-based' considerations

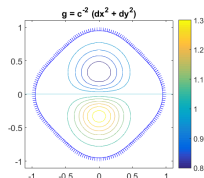
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# The geodesic X-ray transform

$(M, g)$ ,  $\partial M$  strictly convex.

$\partial_+ SM$ : “inward” boundary (‘fan-beam’).

Geodesics:  $\gamma_{x,v}(t)$ .



Problem: to recover  $f \in L^2(M)$  from its Geodesic X-ray transform:

$$I_0 f(x, v) = \int_0^{\tau(x,v)} f(\gamma_{x,v}(t)) dt, \quad (x, v) \in \partial_+ SM = \mathbb{S}^1 \times \left(-\frac{\pi}{2}, \frac{\pi}{2}\right).$$

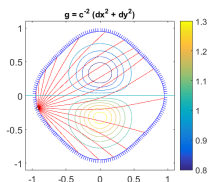

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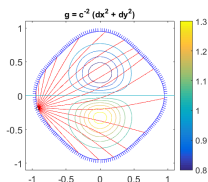

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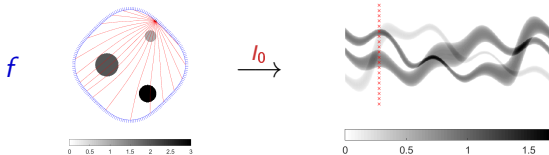
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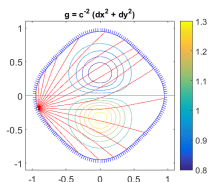


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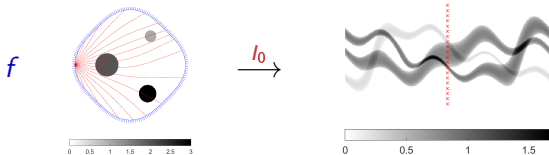
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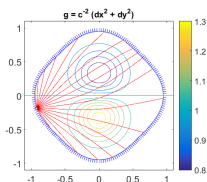


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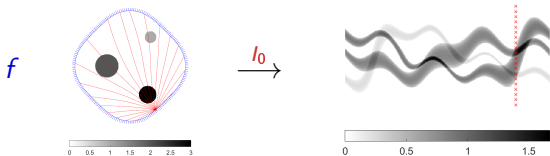
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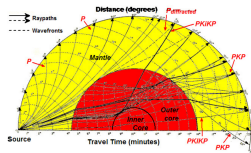
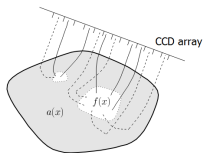
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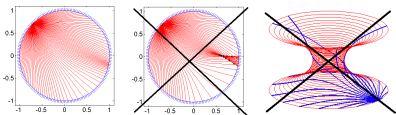
# Applications of geodesic X-ray transform

- 1 Radon transform and X-ray CT
- 2 SPECT, tomography in media with variable refractive index
- 3 Seismology, travel-time tomography.



# Classical IP questions for simple surfaces

**Simple** =  $\partial M$  strictly convex + no conjugate points + no geodesic of infinite length.



Recovery of  $f$  from  $I_0 f$  is ...

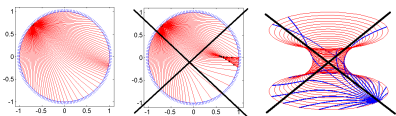
- Injective over  $L^2(M)$  [Mukhometov '75]
- Ill-posed of order 1/2 [Stefanov-Uhlmann '04], [M.-Nickl-Paternain '19], [Paternain-Salo '20], [M. '20]
- Invertible up to compact error [Pestov-Uhlmann '04] (exact in constant curvature cases)

$$f + \underbrace{K}_{\text{compact}} f = \frac{1}{8\pi} \underbrace{I_{\perp}^*}_{\text{backproj.}} \underbrace{A_+^* H A_-}_{\text{filter}} I_0 f.$$

▷ Great ! let's implement it !

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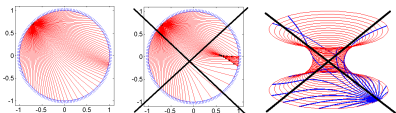
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## A special family: constant curvature disks

Domain:  $\mathbb{D} = \{z \in \mathbb{C}, |z| \leq 1\}$

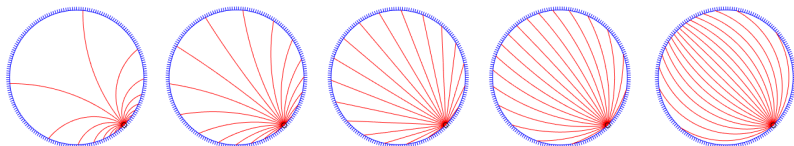
Metric:  $g_\kappa(z) = (1 + \kappa|z|^2)^{-2}|dz|^2$ , for  $\kappa \in (-1, 1)$  fixed.

Relevant quantities:

$$\text{curv} = 4\kappa, \quad L(\partial\mathbb{D}) = \frac{2\pi}{1 + \kappa}, \quad H = 1 - \kappa.$$

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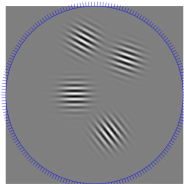
Example with  $\kappa = -0.7, -0.3, 0, 0.3, 0.7$ .



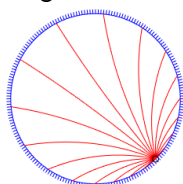
Advantages: rotation-invariant, Pestov-Uhlmann formulas exact,  
still reaches borderline cases of simplicity.

A reconstruction experiment ( $\kappa = -0.3$ )

true function

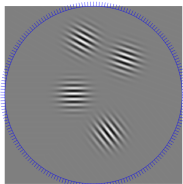


geodesics

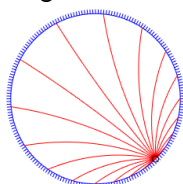
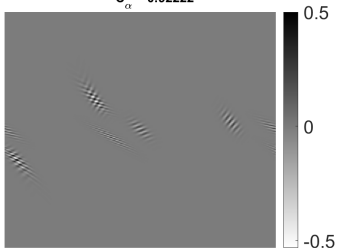
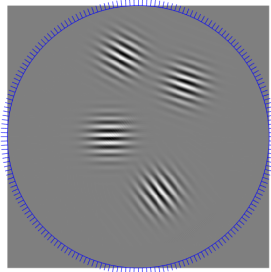


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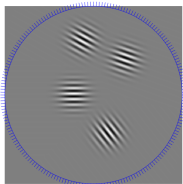


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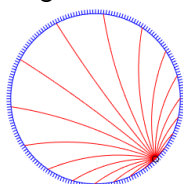
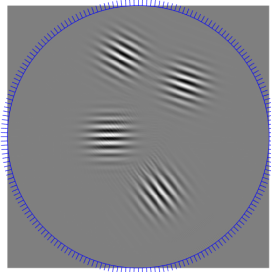
 $C_\alpha = 0.92222$  $I_0 f$  $I_0^{-1}$ 

A reconstruction experiment ( $\kappa = -0.3$ )

true function



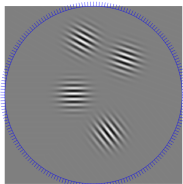
geodesics

 $C_\alpha = 0.77778$  $I_0 f$  $I_0^{-1}$ 

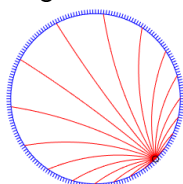
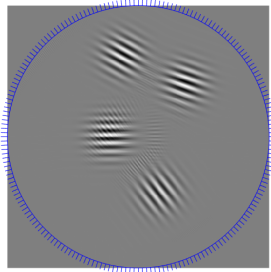


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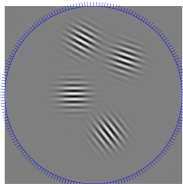


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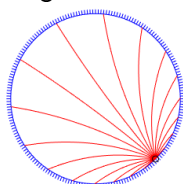
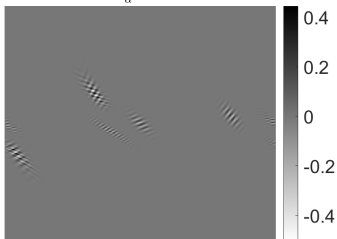
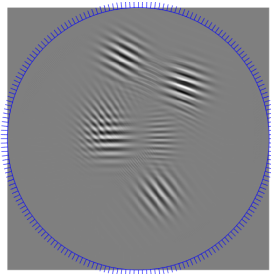
 $C_\alpha = 0.63333$  $I_0 f$  $I_0^{-1}$ 

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true function

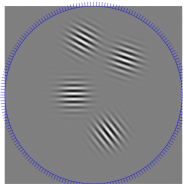


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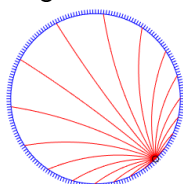
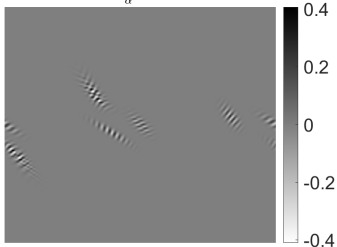
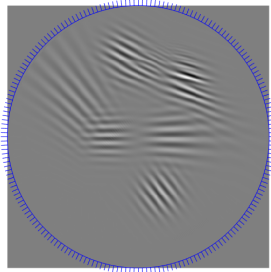
 $C_\alpha = 0.48889$  $I_0 f$  $I_0^{-1}$ 

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true function

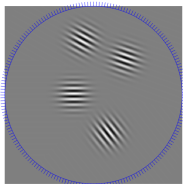


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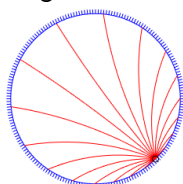
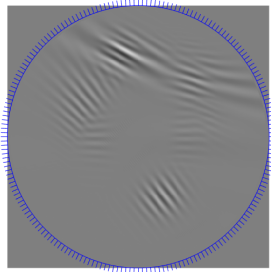
 $C_\alpha = 0.34444$  $I_0 f$  $I_0^{-1}$ 

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true function



geodesics

 $c_\alpha = 0.2$ 
 $I_0^{-1}$   
 $\rightarrow$ 


## Sampling questions [Stefanov, SIMA '20]

Problem: To reconstruct  $f$  from **samples of  $Af$** , with  $A$  a linear, injective, (kind of) stable operator. (“ $A = id$ ”: classical sampling)

- ① Given a bandlimited function  $f$ , what are the sampling requirements on  $Af$  to “faithfully” reconstruct  $f$  ?
- ② Given available sampling rates on  $Af$ , how to constrain the bandlimit of  $f$  ?
- ③ If data is undersampled,
  - (a) can we predict location, orientation and frequency of artifacts ?
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▷ [Stefanov, SIMA '20]: Sharp answers are possible when  $A$  is a classical Fourier Integral Operator (such as  $I_0$  !).

Nearby literature:

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Detecting jump discontinuities: [Katsevich, '17, '20, '21]

Methods exploiting other sparsity: many authors

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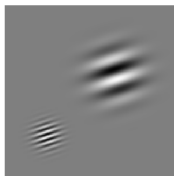
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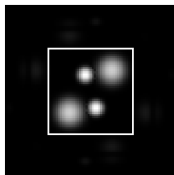
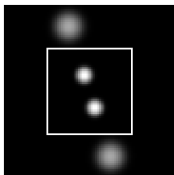
Aliasing illustration when  $A = I$  [Stefanov, SIMA, '20]

Nyquist criterion: if  $\text{supp}(\hat{f}) \subset [-B, B]^2$ , sample at  $h < \frac{\pi}{B}$ .

When Nyquist is violated (right: twice Nyquist rate):



On the Fourier (modulus) side:



- ▷ Artifacts at same location, with different frequency and orientation, recovery (generally) impossible



# Answering sampling questions for the X-ray transform

## Heuristics:

- Bandlimit constraint on  $f = f_h$  takes the form " $WF_h(f) \subset \Sigma$ " for some compact set  $\Sigma \subset T^*M$
- Bandlimit on  $f$  translates into bandlimit on  $I_0 f$  through the **canonical relation** of the **FIO**  $I_0$  via

$$WF_h(I_0 f) \setminus \{0\} \subset C_{I_0} \circ WF_h(f) \setminus \{0\} \quad [\text{Stefanov '20, Thm 2.2}]$$

- Recovery of a  $\Sigma$ -bandlimited  $f$  requires unaliased sampling of  $I_0 f$ , which depends on
  - the geometry via  $C_{I_0}$  (Jacobi fields, boundary curvature),
  - assuming Cartesian sampling on  $\partial_+ SM$ , a 'good' choice of coordinate system on  $\partial_+ SM$ .
- In undersampled situations, aliasing artifacts can be described.

# Outline

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# Coordinate systems

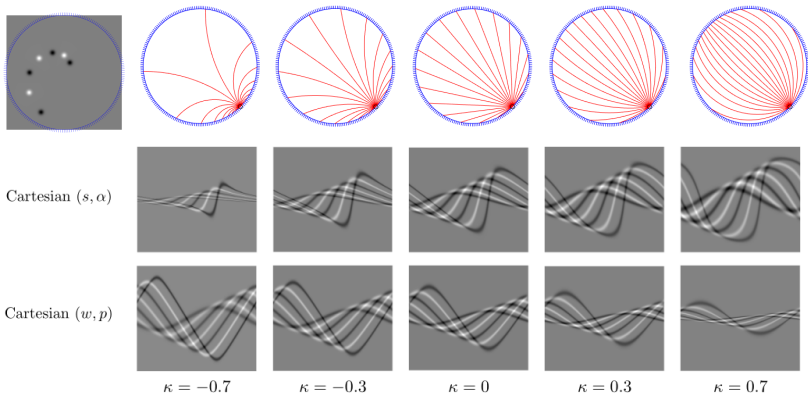
Sampling issues strongly depend on the choice of the coordinate system. Previous coordinate systems: [\[Assylbekov-Stefanov, '20\]](#)

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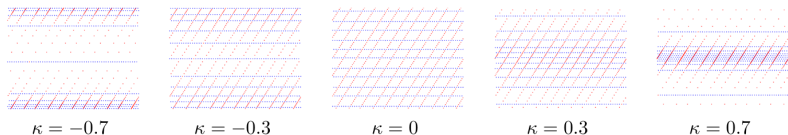
fan-beam  $(s, \alpha)$

parallel  $(w, p)$

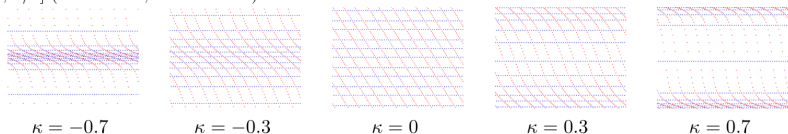
## X-ray transforms: same function, different coord. systems



## Changes of coordinate systems



(a) The image of an equispaced Cartesian grid  $(s, \alpha) \in [0, L] \times [-\pi/2, \pi/2]$  viewed in  $(w, p) \in [0, L] \times [0, L/2]$  (iso- $s$  in red, iso- $\alpha$  in blue).



(b) the image of an equispaced Cartesian grid  $(w, p) \in [0, L] \times [0, L/2]$  viewed in  $(s, \alpha) \in [0, L] \times [-\pi/2, \pi/2]$  (iso- $w$  in red, iso- $p$  in blue).

# Canonical relation of $I_0$ : geometric description

Using the double fibration picture [Helgason, Guillemin]

$$\partial_+ SM \xleftarrow{F} SM \xrightarrow{\pi} M,$$

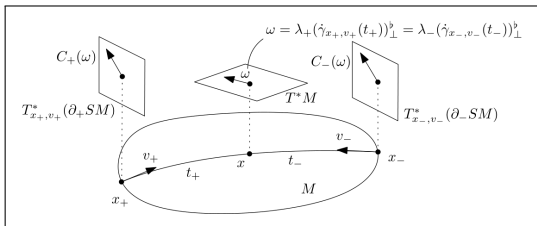
one has the clean composition of FIOs  $I_0 = F_* \circ \pi^*$ , then:

$$C_{I_0}(\omega) = (C_+(\omega), C_-(\omega)), \quad \omega \in T^*M \quad (\text{two graphs}).$$

To find  $C_+(\omega)$ :

- Let  $(x, v) \in \partial_+ SM$  and  $t > 0$ ,  $\lambda_+ > 0$  s.t.  $\omega = \lambda_+(\dot{\gamma}_{x,v}(t))^\flat_\perp$ .
- Then  $C_+(\omega) = \lambda_+ \eta \in T_{(x,v)}^* \partial_+ SM$ , where

$$\eta(V) = b(x, v, t), \quad \eta(H) = -\mu a(x, v, t) \quad (a, b : \text{scalar Jacobi field}).$$



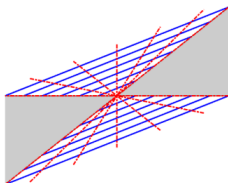
Microlocal range  $C_{l_0}(T^*M)$  in coordinate systemsFan-beam:

$$\eta = \lambda(\eta_s(t) ds + \eta_\alpha(t) d\alpha)$$

$$\eta_s = II(s)b(s, \alpha, t) - \cos \alpha a(s, \alpha, t)$$

$$\eta_\alpha = b(s, \alpha, t).$$

Sample cotangent fiber  
(Eucl. disk):



Sensitive to: Jacobi fields

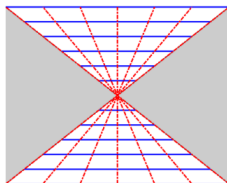
Parallel:

$$\eta = \lambda(\eta_w(t) dw + \eta_p(t) dp)$$

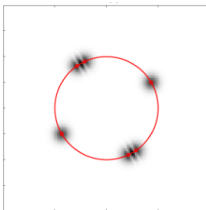
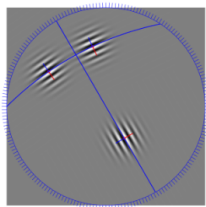
$$\eta_w = \mu(S_A(w, p)) \frac{b(w, p, t)}{b(w, p, \tau)} - \mu(w, p) \frac{b(S_A(w, p), t)}{b(S_A(w, p), \tau)}$$

$$\eta_p = \mu(S_A(w, p)) \frac{b(w, p, t)}{b(w, p, \tau)} + \mu(w, p) \frac{b(S_A(w, p), t)}{b(S_A(w, p), \tau)}$$

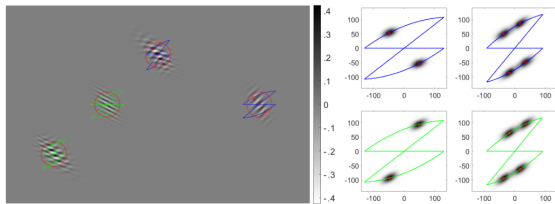
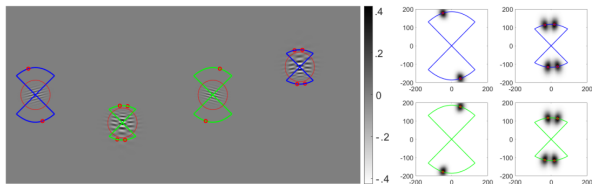
Sample cotangent fiber (Eucl. disk):



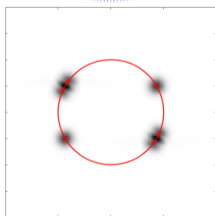
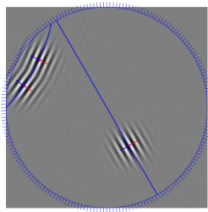
Sensitive to: simplicity

Numerical example  $\kappa = 0.3$ function +  
FT

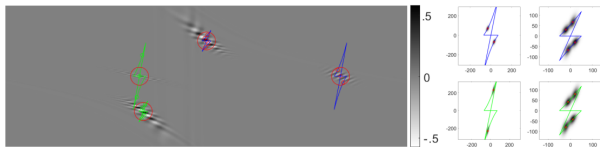
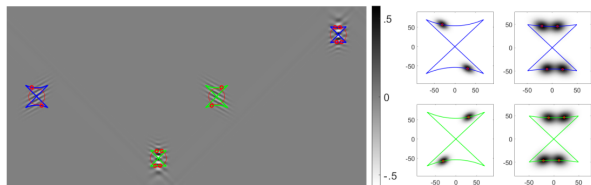
$$WF_h(I_0 f_h) \subset C_{I_0} \circ WF_h(f_h)$$

(a)  $(s, \alpha)$  coordinates(b)  $(w, p)$  coordinates



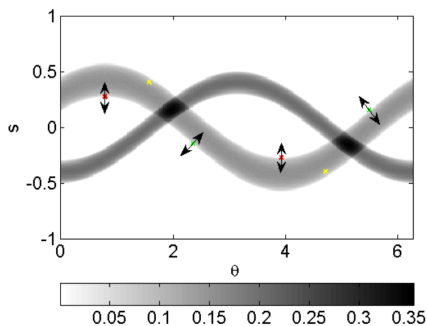
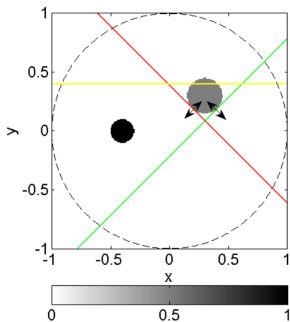
Numerical example  $\kappa = -0.3$ function +  
FT

$$WF_h(I_0 f_h) \subset C_{I_0} \circ WF_h(f_h)$$

(a)  $(s, \alpha)$  coordinates(b)  $(w, p)$  coordinates

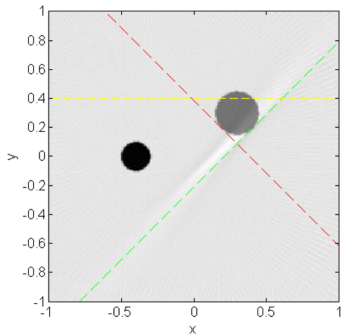
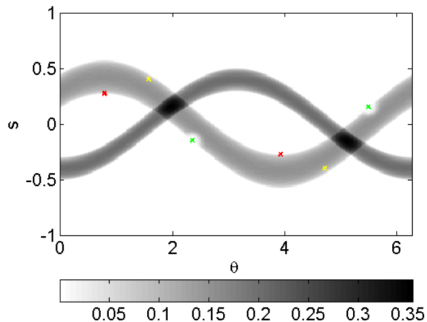
## A classical comparison

The classical picture:  $WF(I_0 f) \subset C_{I_0} \circ WF(f)$



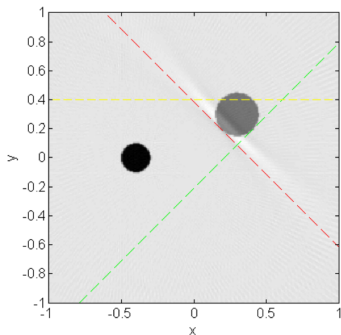
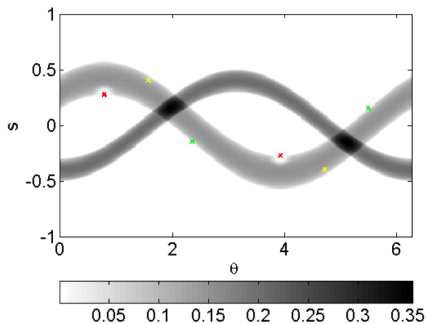
## A classical comparison

The classical picture:  $WF(I_0 f) \subset C_{I_0} \circ WF(f)$



## A classical comparison

The classical picture:  $WF(I_0 f) \subset C_{I_0} \circ WF(f)$



# Outline

- 1 Introduction
- 2 The X-ray transform on CCD's
  - Coordinate systems
  - Canonical relation, microlocal range
- 3 Sampling issues
  - Sharp sampling rates
  - Predicting aliasing artifacts
  - Non 'box-based' considerations

## Indicators of sharp sampling rates

Q: Given a bandlimit on  $f$ , how to predict sampling rates on  $I_0 f$  ?

A: If  $f$  is  $\lambda B^* M$ -bandlimited, visualize  $\lambda C_{I_0}(B^* M)$

(fiber-dependent) and fit it in a Nyquist box !

Geometries: l. to .r,  $\kappa = -0.7, -0.3, 0, 0.3, 0.7$

Coordinates: fan-beam (top), parallel (bottom)

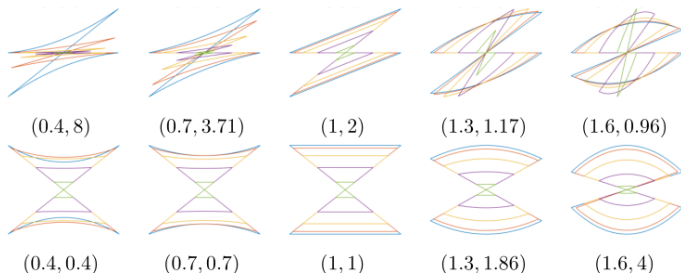
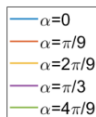
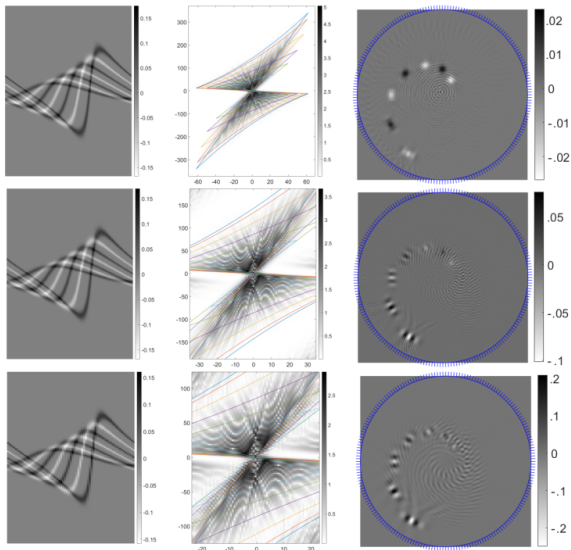
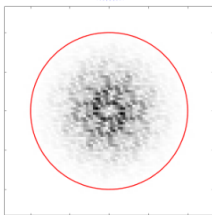
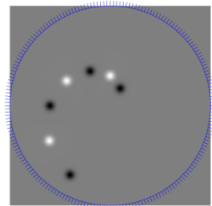


Illustration of sharp rates.  $\kappa = -0.3$ 

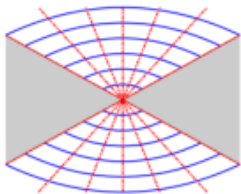
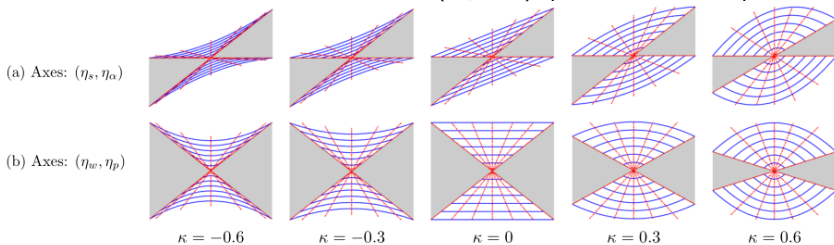
function + FT



## How to read and predict aliasing artifacts 1/2

Let's return to the canonical relation of  $I_0$ .

Partitioning of **one** fiber of  $T^*(\partial_+ SM)$  ( $\alpha = 0$  or  $p = 0$ ):

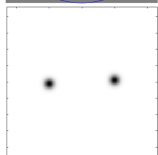
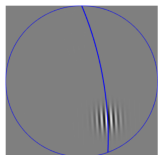




## How to read and predict aliasing artifacts 2/2

Original function

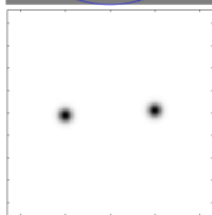
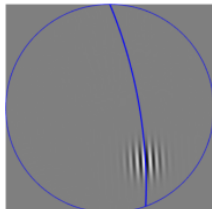
+ FT

**Geometry:**

$$(R, \kappa) = (1, 0.4)$$

**Coordinates:**

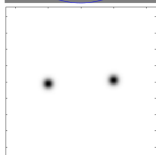
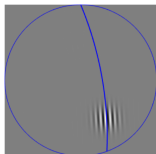
fan-beam



## How to read and predict aliasing artifacts 2/2

Original function

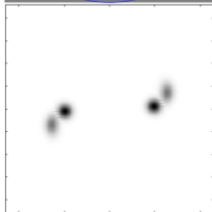
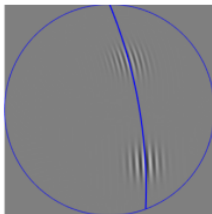
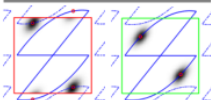
+ FT

**Geometry:**

$$(R, \kappa) = (1, 0.4)$$

**Coordinates:**

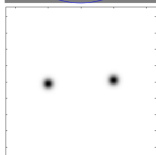
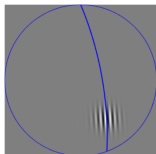
fan-beam



## How to read and predict aliasing artifacts 2/2

Original function

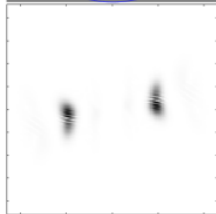
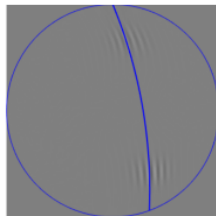
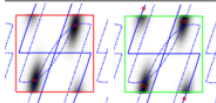
+ FT

**Geometry:**

$$(R, \kappa) = (1, 0.4)$$

**Coordinates:**

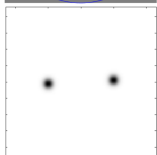
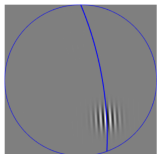
fan-beam



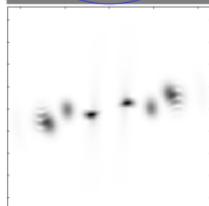
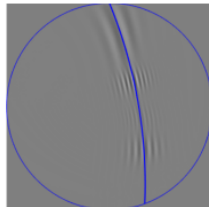
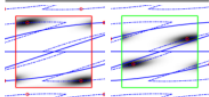
## How to read and predict aliasing artifacts 2/2

Original function

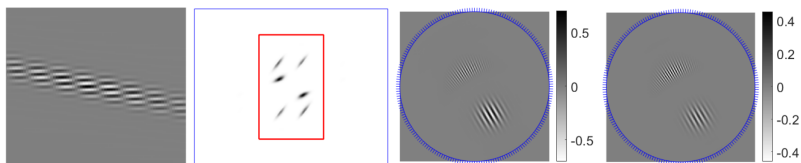
+ FT

**Geometry:** $(R, \kappa) = (1, 0.4)$ **Coordinates:**

fan-beam

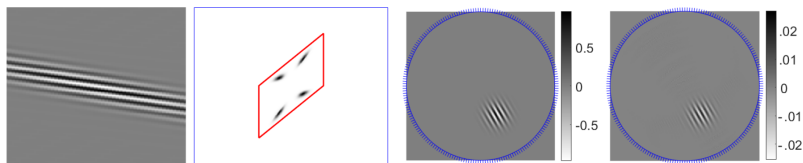


## How to beat the 'box-based' Nyquist rate ? [Natterer]

Vertically subsampled  $I_0 f$  - Fourier transform:

(a) Upsampling method based on  $\mathcal{P}$ . The singularity remains aliased after upsampling. Reconstruction has aliasing artifacts.

## How to beat the 'box-based' Nyquist rate ? [Natterer]

Vertically subsampled  $I_0 f$  - Fourier transform:

(b) Upsampling method based on  $\mathcal{B}$ . The singularity is properly recovered after upsampling. Reconstruction has no aliasing artifacts.

## Conclusions

- Injectivity, stability and reconstruction formulas at the continuous level still do not address a variety of issues that can occur on the discretization side.
  - The sampling of FIOs can lead to new artifacts compared to the classical sampling problem:
    - Artifacts can be at a different orientation, frequency **and location**.
    - Unlike in classical sampling, undersampling can lead to **higher**-frequency reconstructions.
  - Addressing sampling issues for FIOs requires a good understanding of their *canonical relation* and a good choice of *coordinate system* in the data space.
- 

Thank you !

Reference: F.M. and P. Stefanov, Sampling the X-ray transform on simple surfaces, preprint (2021). arxiv:2110.05761

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Thank you !

Reference: F.M. and P. Stefanov, Sampling the X-ray transform on simple surfaces, preprint (2021). arxiv:2110.05761