# Microlocal analysis of seven-dimensional Radon transforms for Compton scattering tomography 

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Inverse Problems at a small scale, October 19, 2022


$$
\begin{aligned}
& \text { SIMONS } \\
& \text { FOUNDATION }
\end{aligned}
$$

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- To predict and analyze the image artifacts with incomplete data.
- To mitigate artifacts when possible.


## The Compton Effect

The Compton effect determines scattering angle
$E_{\mathrm{src}}=$ energy of monochromatic photons at the source, $E_{d}=$ measured energy of scattered photon at detector, $E_{0}=$ electron rest energy, $\omega=$ scattering angle.

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Moral

- If two photons from the same source hit the detector with the same energy, they have the same scattering angle.
- Therefore, they are on the same circle containing the source and detector! (if on the same side)


## Back scattered Compton Data are over apples



Photons leave the source with energy $E_{\text {src }}$.

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## Back scattered Compton Data are over apples



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Some will backscatter off the point with energy $E_{d}$ measured at the detector.
$E_{d}$ determines the scattering angle $\omega \in(\pi / 2, \pi)$ and the apple part of the red circles (all points with scattering angle $\omega$ ). The same scattering occurs on the other circle.

## Forward scattered Compton Data are over lemons



Photons leave the source with energy $E_{\text {srr }}$.
Forward Scatter will occur on the lemon () part between the source and detector with scattering angle $\omega \in(0, \pi / 2)$.

## Previous works and dimensionality

1. Much of the literature considers these transforms over 3-D sets of lemons and apples [Webber, Q., Miller, Rigaud, Hahn, Webber, Holman, Cabeiro, et al.], [Arridge], etc.
2. [Rigaud, Hahn] With-3-D data, artifacts observed due to incomplete data.
3. [Webber, Holman] With 3-D data, transform shown to violate the Bolker condition, and artifacts are induced by a flowout. Invisible singularities near the center due to limited energy resolution.
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4. [Cabeiro, et al.] shows artifacts in simulated reconstructions.
Common theme: these authors analyze artifacts for various three-dimensional data sets.

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$$
\Psi_{j}\left(s, t, \mathbf{x}_{0}, \xi ; \mathbf{x}\right)=\left(\left\|\mathbf{x}_{T}-\left\langle\mathbf{x}_{T}, \xi\right\rangle \xi\right\|+(-1)^{j} t\right)^{2}+\left\langle\mathbf{x}_{T}, \xi\right\rangle^{2}-s .
$$

$\Psi_{j}=0$ is the defining equation of the apple $(j=1)$ and lemon $(j=2)$ Tufts surfaces.

## Some example surfaces

Here are some 2-D cross-sections of apples and lemons with the defining equations highlighted.


Apples $(j=1)$


Lemons $(j=2)$


$(x, y)$ plane cross-sections of the apple and lemon parts of a spindle torus when $\xi=(0,1)$ (left) and $\xi=(1,0)$ (right), $\mathbf{x}_{0}=\mathbf{0}$, and $s$ and $t$ vary between $\frac{1}{2}$ and 7 .

## Our generalized Radon transform

$f \in L_{c}^{2}(B)$, integrate over apple $(j=1)$ and lemon $(j=2)$ surfaces:

$$
\mathcal{R}_{j} f\left(s, t, \mathbf{x}_{0}, \xi\right)=\int_{X}\left\|\nabla_{\mathbf{x}} \Psi_{j}\right\| \delta\left(\Psi_{j}\left(s, t, \mathbf{x}_{0}, \xi ; \mathbf{x}\right)\right) f(\mathbf{x}) \mathrm{d} \mathbf{x}
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& =\frac{1}{2 \pi} \int_{-\infty}^{\infty} \int_{X}\left\|\nabla_{\mathbf{x}} \Psi_{j}\right\| e^{i \sigma \Psi_{j}\left(s, t, \mathbf{x}_{0}, \xi ; \mathbf{x}\right)} f(\mathbf{x}) \mathrm{d} \mathbf{x} \mathrm{~d} \sigma
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using $\frac{1}{2 \pi} \int_{-\infty}^{\infty} e^{i \sigma r} \mathrm{~d} \sigma=\delta(r)$ ．

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using $\frac{1}{2 \pi} \int_{-\infty}^{\infty} \mathrm{e}^{i \sigma r} \mathrm{~d} \sigma=\delta(r)$. Apple transform: $\mathcal{A} f=\mathcal{R}_{1} f$ Lemon transform: $\mathcal{L} f=\mathcal{R}_{2} f$

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Apple transform: $\mathcal{A} f=\mathcal{R}_{1} f$
Lemon transform: $\mathcal{L} f=\mathcal{R}_{2} f$
Let $\bar{B}$ be the closed unit ball. The domain of $\mathcal{R}_{j} f$ is defined

$$
\begin{aligned}
& Y=\left\{\left(s, t, \mathbf{x}_{0}, \xi\right) \in \mathbb{R}^{2} \times \mathbb{R}^{3} \times S^{2}\right. \\
&\left.: s>t^{2},\left\{\mathbf{x}_{0} \pm \sqrt{s-t^{2}} \xi\right\} \cap \bar{B}=\varnothing\right\},
\end{aligned} .
$$

$Y$ describes the set of apples and lemons who's singular points (source and receiver) do not intersect $\bar{B}$.

## Main theorem

Theorem (Webber, Q. Inverse Problems 38(2022))
The apple and lemon transforms $R_{j}: L_{c}^{2}(B) \rightarrow L_{\text {loc }}^{2}(Y)$ are elliptic FIO order-2.

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- Thus, there are no artifacts in backprojection type reconstructions from seven-dimensional lemon or apple integral data, $\mathcal{K}=R_{j}^{*} D \varphi R_{j} f$ where $\varphi$ is smooth (i.e., $\mathcal{K}$ is a $\psi D O$ ).


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Key point: With full seven-dimensional data, the lemon and apple transforms satisfy the Bolker condition.

- Thus, there are no artifacts in backprojection type reconstructions from seven-dimensional lemon or apple integral data, $\mathcal{K}=R_{j}^{*} D \varphi R_{j} f$ where $\varphi$ is smooth (i.e., $\mathcal{K}$ is a $\psi D O$ ).
- Also, we show there are no invisible singularities on $B$ with data over all $Y$.


## Proof outline:

1. Calculate the canonical relation, $\mathcal{C}_{j}$ of $R_{j}$ and choose good coordinates.
$R=R(\alpha, \beta)=\left(\begin{array}{ccc}\cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1\end{array}\right)\left(\begin{array}{ccc}1 & 0 & 0 \\ 0 & \cos \beta & -\sin \beta \\ 0 & \sin \beta & \cos \beta\end{array}\right), \quad \xi=R \mathbf{e}_{3}$.

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3．Recall Sylvester＇s Determinant Theorem（SDT）：
$A \in \mathbb{R}^{m \times n}, B \in \mathbb{R}^{n \times m}: \operatorname{det}\left(I_{m \times m}+A B\right)=\operatorname{det}\left(I_{n \times n}+B A\right)$ ．

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4．Show that the phase function is nondegenerate．
5．Show that $\Pi_{L}^{(j)}$ is an injective immersion（SDT）． Each of these calculations has an expression： $\operatorname{det}\left(l_{3 \times 3}-\frac{t}{g}\left(r_{1}^{T}, r_{2}^{T}\right)\binom{r_{1}}{r_{2}}\right)=\operatorname{det}\left(l_{2 \times 2}-\frac{t}{g}\binom{r_{1}}{r_{2}}\left(r_{1}^{T}, r_{2}^{T}\right)\right)$ where $r_{1}$ and $r_{2}$ are the first two rows of $R^{T}, g=\left\|\mathbf{x}_{T}-\left\langle\mathbf{x}_{T}, \xi\right\rangle \xi\right\|$ ．

## A five-dimensional set of spindle tori

## Corollary ([Webber, Q.])

Let $\xi_{0} \in S^{2}$ be fixed. Then the Radon transform over lemons with axis parallel $\xi_{0}$

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\mathcal{L}_{T} f\left(\boldsymbol{s}, t, \mathbf{x}_{0}\right)=\mathcal{L} f\left(\boldsymbol{s}, t, \mathbf{x}_{0}, \xi_{0}\right)
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Therefore, artifacts can appear in the reconstruction along rings which are the intersections of apples and the cylinder of radius $t$ with the same axis of revolution.

2－D cross－section of a spindle torus with this cylinder．


The cylinder intersects the apple along rings at the top and bottom of the apple．The red points on the apple are where $\Pi_{L}$ drops rank．

2-D cross-section of a spindle torus with this cylinder.


The cylinder intersects the apple along rings at the top and bottom of the apple. The red points on the apple are where $\Pi_{L}$ drops rank.
The cylinder never intersects the lemon, so Bolker holds for $\mathcal{L}_{T}$.

A 3-dimensional geometry from luggage testing
We consider the practical geometry where the sources are on the plane $z=1$ and the detectors are on the plane $z=-1$ [Webber, Q., Miller] .

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［Webber，Q．，Miller］．This gives parameters：
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－The detector is directly below the source and they move together．

Source－detector pair


## The transforms for luggage testing

$$
\left.\mathcal{A}_{0} f_{1}\left(t, \mathbf{x}_{0}\right)=\mathcal{A f}\left(t^{2}+1, t,\left(\mathbf{x}_{0}, 0\right)^{\top}, \mathbf{e}_{3}\right)\right)
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1. $\mathcal{A}_{0}$ is an FIO for compactly supported distributions on $\{z>1\}$ (above the detector array)-or below.


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\text { and } \\
\mathcal{L}_{0} f\left(t, \mathbf{x}_{0}\right)=\mathcal{L} f\left(t^{2}+1, t,\left(x_{0}, y_{0}, 0\right)^{\top}, \mathbf{e}_{3}\right) .
\end{gathered}
$$

1. $\mathcal{A}_{0}$ is an FIO for compactly supported distributions on $\{z>1\}$ (above the detector array)-or below.
2. $\mathcal{L}_{0}$ is an FIO for compactly supported distributions on $\{-1<z<1\}$ (in-between the source and detector array).


## Theorem

－The lemon transform $\mathcal{L}_{0}$ satisfies the Bolker condition for distributions in $\mathcal{E}^{\prime}(\{0<z<1\})$ ．


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On $\{-1<z<1\}$ ，artifacts occur at mirror points－reflections in $z=0$

## Theorem

－The apple transform $\mathcal{A}_{0}$ satisfies Bolker for distributions supported in the region above the hyperboloid

$$
H\left(\mathbf{x}_{0}\right)=\left\{(x, y, z): z^{2}-1=\left(x-x_{0}\right)^{2}+\left(y-y_{0}\right)^{2}\right\}
$$

$\Pi_{\llcorner }$drops rank at the red points where the apple intersects $H\left(\mathbf{x}_{0}\right)$ ．


## Theorem

- The apple transform $\mathcal{A}_{0}$ satisfies Bolker for distributions supported in the region above the hyperboloid

$$
H\left(\mathbf{x}_{0}\right)=\left\{(x, y, z): z^{2}-1=\left(x-x_{0}\right)^{2}+\left(y-y_{0}\right)^{2}\right\}
$$

$\Pi_{L}$ drops rank at the red points where the apple intersects $H\left(\mathbf{x}_{0}\right)$.


Warning: This region where Bolker holds depends on $\mathbf{x}_{0}$ !

## Artifact Reduction

Put supp $(f)$ above $z=1+\epsilon$ and restrict the source cone-beam angle to only illuminate supp $(f)$ above $H\left(\mathbf{x}_{0}\right)$


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As the source and detector pairs move, only the part of $\operatorname{supp}(f)$ above $H\left(\mathbf{x}_{0}\right)$ is illuminated.

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