

# Inverse Dynamical Analysis: Applications to Gene Networks

**James Lu**

Inverse Problems Group  
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In collaboration with:  
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**Peter Schuster**, **Rainer Machné** (TBI, Vienna)



# From Bio to Math and back

Inverse  
Dynamical  
Analysis:  
Applications to  
Gene Networks

James Lu

Motivation

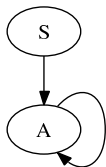
Inverse  
Eigenvalue  
Analysis

Inverse  
Bifurcation  
Analysis

The Forward Problem:

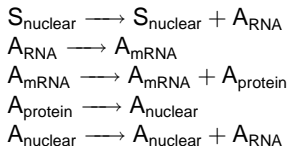
interaction graph  $\rightarrow$  reactions  $\rightarrow \frac{dx}{dt} = f(x, q) \rightarrow x(t) \rightarrow$  bifurcations

interactions



experimental work

reactions



classical chemical kinetics

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$$\begin{aligned}\frac{dr_A}{dt} &= N \cdot \left( V_a^b + V_a^A \cdot \left( \frac{A}{(K_a^A + A)} \right)^{h_A} + V_a^S \cdot \left( \frac{S}{(K_a^S + S)} \right)^{h_S} \right) - (k_{ex_A} + \Phi_{r_A}) \cdot r_A \\ \frac{dm_A}{dt} &= k_{ex_A} \cdot r_A \cdot c_1/c_2 - \Phi_{m_A} \cdot m_A \\ \frac{dAc}{dt} &= k_{I_A} \cdot m_A - (k_{im_A} \cdot Ac - k_{ex_A} \cdot A) \cdot c_1/c_2 - \Phi_{Ac} \cdot Ac \\ \frac{dA}{dt} &= k_{im_A} \cdot Ac - (k_{ex_A} + \Phi_A) \cdot A\end{aligned}$$

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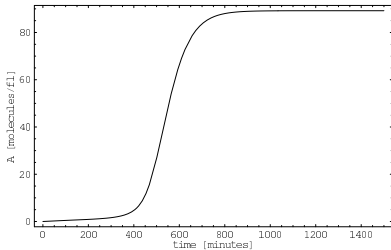
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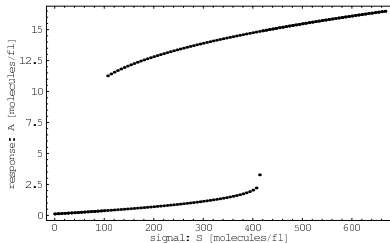
The Forward Problem:

interaction graph  $\rightarrow$  reactions  $\rightarrow \frac{dx}{dt} = f(x, q) \rightarrow x(t) \rightarrow$  bifurcations

time courses:  $x(t)$



stability and bifurcation analysis



# From Bio to Math and back : *bifurcation phenotypes*

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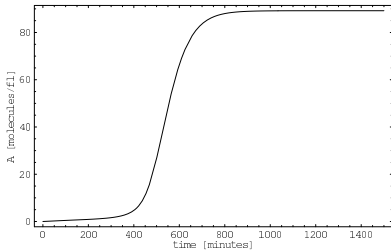
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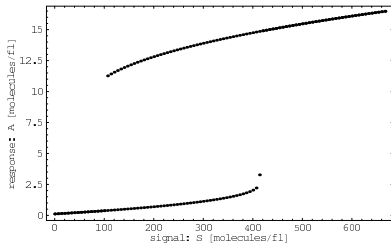
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time courses:  $x(t)$



stability and bifurcation analysis  
w.r.t. physiological signals



$\approx$  signal/response curves /  
titration experiments

# From Bio to Math **and back**

The Inverse Problem: matching model to data

interaction graph  $\leftarrow$  reactions  $\leftarrow \frac{dx}{dt} = f(x, q) \leftarrow x(t) \leftarrow$  bifurcations

match desired time-courses:  $x(t)$

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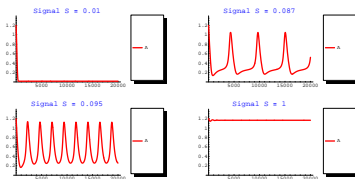
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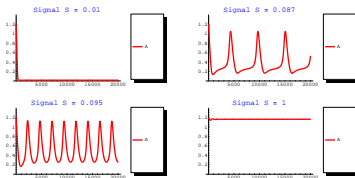
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The Inverse Problem: matching model to data

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match desired time-courses:  $x(t)$

match desired bifurcation diagram



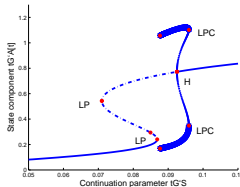
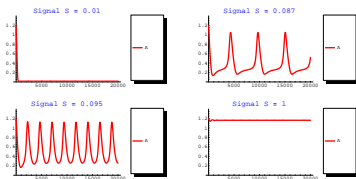
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The Inverse Problem: matching model to data

$$\text{interaction graph} \leftarrow \text{reactions} \leftarrow \frac{dx}{dt} = f(x, q) \leftarrow x(t) \leftarrow \text{bifurcations}$$

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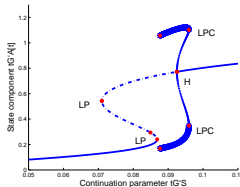
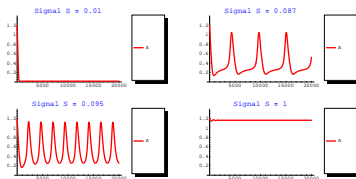
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- Non-uniqueness of solution

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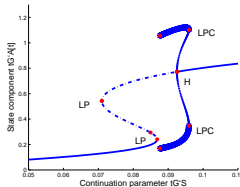
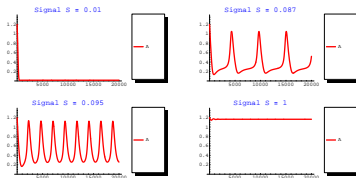
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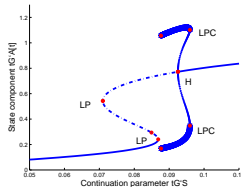
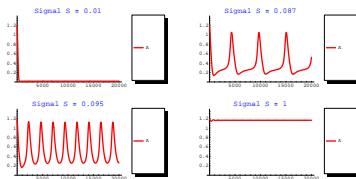
- Non-uniqueness of solution
- use the principle of *Ockham's razor*, i.e., **sparsity constraints**

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The Inverse Problem: matching model to data

interaction graph  $\leftarrow$  reactions  $\leftarrow \frac{dx}{dt} = f(x, q) \leftarrow x(t) \leftarrow$  bifurcations

**predict** the parametric dependency  
of time-courses:  $x(t)$



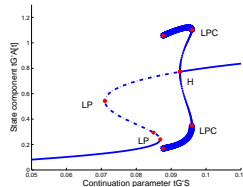
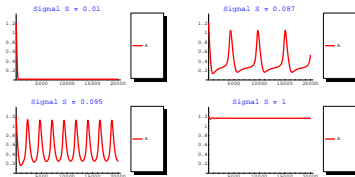
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**predict** the parametric dependency of time-courses:  $x(t)$

**infer** influential mechanisms controlling bifurcation points



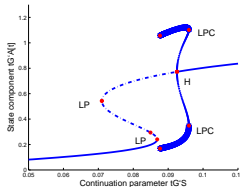
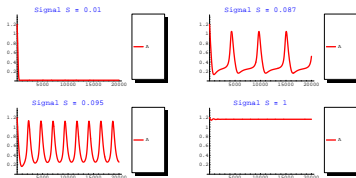
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- Infer important predictions of model to be experimentally verified/falsified

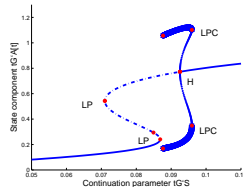
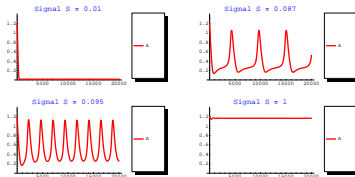
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**predict** the parametric dependency of time-courses:  $x(t)$

**infer** influential mechanisms controlling bifurcation points



- Infer important predictions of model to be experimentally verified/falsified
- would like to vary only a few important reactions: **sparsity constraints**

# Inverse Dynamical Analysis: Methodology

Inverse  
Dynamical  
Analysis:  
Applications to  
Gene Networks

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Motivation

Inverse  
Eigenvalue  
Analysis

Inverse  
Bifurcation  
Analysis

- In the initial modelling of gene networks to capture the observed qualitative behaviors
  - **probe** the possibility for the model to exhibit known pattern of up/down regulation or oscillations
  - **characterize** parameter variations that can give rise to these dynamical behaviors
  
- Computational methods:
  - **inverse eigenvalue analysis**

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- Given a plausible model of biological system of interest
  - **identify** mechanisms in model that can give rise to various *bifurcation phenotypes*: verify or falsify experimentally
  - **design** for desired dynamical characteristics
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  - **inverse eigenvalue analysis**
  - **inverse bifurcation analysis**

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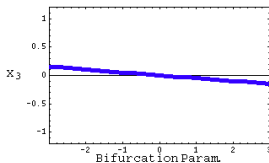
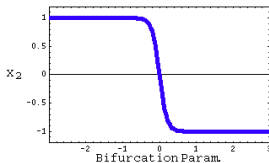
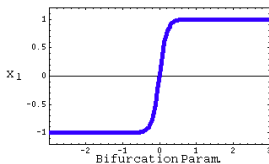
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- Computational methods:
  - **inverse eigenvalue analysis**
  - **inverse bifurcation analysis**
  - **sparsity constraint**

# Inverse Eigenvalue Problems: ODE setting

## Illustration: design of gene switch w.r.t. signal

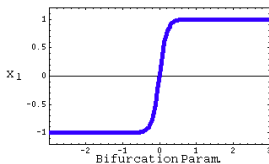
- gene 1:  
up-regulated



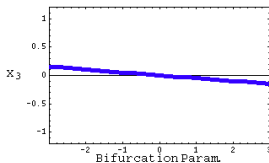
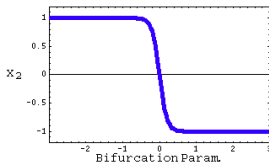
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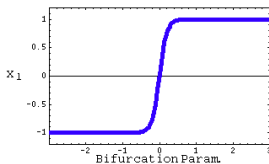
- gene 2:  
down-regulated



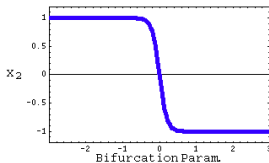
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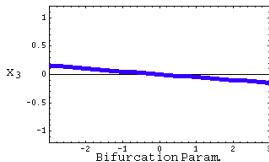
- gene 1:  
up-regulated



- gene 2:  
down-regulated



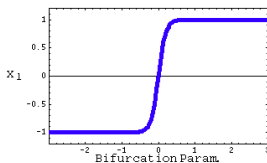
- gene 3:  
essentially  
unchanged



# Inverse Eigenvalue Problems: ODE setting

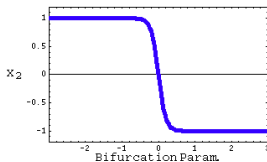
## Illustration: design of gene switch w.r.t. signal

- gene 1:  
up-regulated



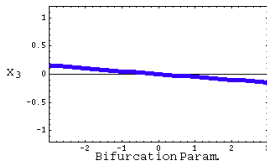
$$\uparrow (v^*)_1$$

- gene 2:  
down-regulated



$$\downarrow (v^*)_2$$

- gene 3:  
essentially  
unchanged



$$\bullet (v^*)_3$$

# Inverse Eigenvalue Problems (IEP): ODE setting

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Dynamical  
Analysis:  
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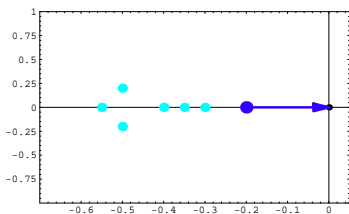
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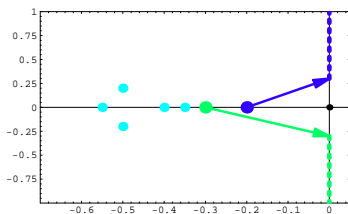
Inverse  
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## Definition (IEP for Locating Saddle-Node and Hopf Bifurcations)

Denote the desired eigenvalues  $\lambda_{SN} = \{0\}$  or  $\lambda_H = \{\pm\omega i\}$ . With equilibrium condition  $f(x, q) = 0$ , determine parameter values  $q$  such that  $\sigma(\frac{df}{dx}) \supset \lambda_{SN,H}$ , subject to some additional inequality constraints on the associated eigenvectors  $C \cdot V^{\text{crit}} \geq 0$



(a) IEP for Saddle-Node

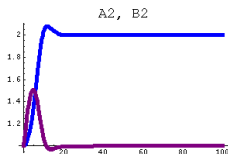
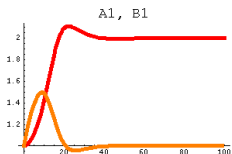


(b) IEP for Hopf

# Inverse Eigenvalue Problems: Coupled Brusselator

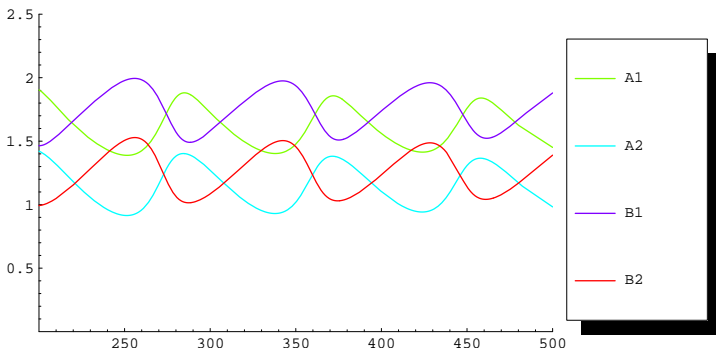
- Initial damped behavior in coupled Brusselators

		Forward rate	Backward rate
Production/degradation: system 1	$\emptyset \rightleftharpoons A1$	$k(1, 1)$	$k(1, 2)$
	$2 A1 + B1 \rightarrow 3 A1$	$k(1, 3)$	
	$A1 \rightarrow B1$	$k(1, 4)$	
Production/degradation: system 2	$\emptyset \rightleftharpoons A2$	$k(2, 1)$	$k(2, 2)$
	$2 A2 + B2 \rightarrow 3 A2$	$k(2, 3)$	
	$A2 \rightarrow B2$	$k(2, 4)$	
Coupling: systems 1, 2	$A1 \rightleftharpoons A2$	$C12$	$C21$



# Inverse Eigenvalue Problems: ODE setting

- Application: design of oscillation pattern in coupled Brusselators

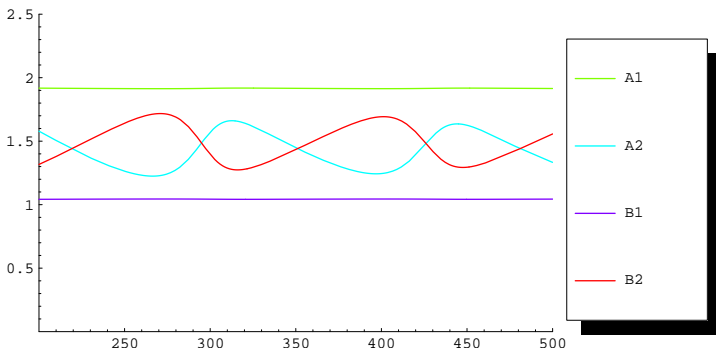


Equal amplitude of oscillation in  $\{A1, B1\}$  and  $\{A2, B2\}$ :

$$V_{A1}^{\text{crit}} = V_{A2}^{\text{crit}}, V_{B1}^{\text{crit}} = V_{B2}^{\text{crit}}$$

# Inverse Eigenvalue Problems: ODE setting

- Application: design of oscillation pattern in coupled Brusselators



No oscillation in A1, B1:  $V_{A1}^{\text{crit}} = V_{B1}^{\text{crit}} = 0$

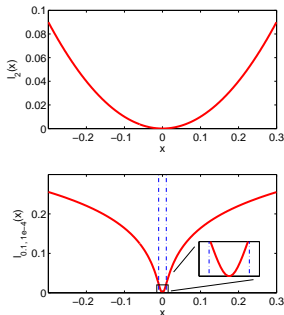
# Sparsity-promoting regularization: $l_p, p \leq 1$

- Consider (smoothed) functionals  $\mathbb{R}^n \rightarrow \mathbb{R}$ :

$$l_{p,\epsilon}(x) = \sum_i (x_i^2 + \epsilon)^{p/2}$$

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- Consider (smoothed) functionals  $\mathbb{R}^n \rightarrow \mathbb{R}$ :  
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- Convex only within the box  $\{x : |x_i| < \sqrt{\epsilon}, 0 < i \leq n\}$



# Sparsity-promoting regularization: $l_p, p \leq 1$

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$$l_{p,\epsilon}(x) = \sum_i (x_i^2 + \epsilon)^{p/2}$$
- Convex only within the box  $\{x : |x_i| < \sqrt{\epsilon}, 0 < i \leq n\}$
- Recent applications of sparse solutions using non-convex penalty:
  - *Exact reconstruction of sparse signals via nonconvex minimization*, R. Chartrand (2007)
  - Compressive sensing using  $l_1$  re-weighting, E. Candes, S. P. Boyd, M. Wakin *et al.* (2007)
  - *Log-det heuristic for matrix rank minimization with applications to Hankel and Euclidean distance matrices*, M. Fazel, H. Hindi and S. P Boyd (2003)

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- Hybrid solution algorithm:
  - Lift-and-Project (LP)

# Inverse Eigenvalue Problem: ODE setting

- Hybrid solution algorithm:
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  - Quasi-Newton (QN)

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# Inverse Eigenvalue Problem: ODE setting

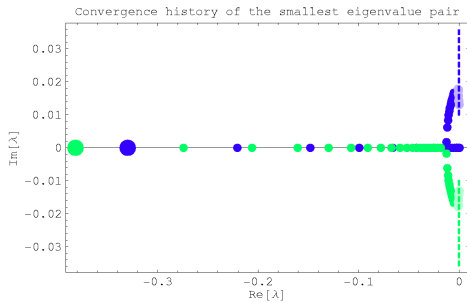
- Hybrid solution algorithm:
  - Lift-and-Project (LP)
  - Quasi-Newton (QN)
- Least square formulations with **sparsity constraint**:

$$\begin{aligned} \text{LP} & : J(q) = \|A(q) - A_{\text{proj}}\|_{\mathcal{F}}^2 + \alpha \|q - q^*\|_{l_p}^p \\ \text{QN} & : J(q) = \sum_i |\lambda_i(q) - \lambda_i^d|^2 + \alpha \|q - q^*\|_{l_p}^p, \end{aligned}$$

# Inverse Eigenvalue Problem: ODE setting

- Hybrid solution algorithm:
  - Lift-and-Project (LP)
  - Quasi-Newton (QN)
- Least square formulations with **sparsity constraint**:

$$\text{LP} : J(q) = \|A(q) - A_{\text{proj}}\|_{\mathcal{F}}^2 + \alpha \|q - q^*\|_{l_p}^p$$
$$\text{QN} : J(q) = \sum_i |\lambda_i(q) - \lambda_i^d|^2 + \alpha \|q - q^*\|_{l_p}^p,$$



# Emergence of New Dynamical Repertoire: from a Bistable Switch to an Oscillator

Inverse  
Dynamical  
Analysis:  
Applications to  
Gene Networks

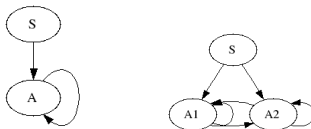
James Lu

Motivation

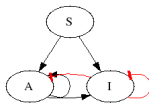
Inverse  
Eigenvalue  
Analysis

Inverse  
Bifurcation  
Analysis

- Consider a model for GATA transcription factors, which play important role in Th2 cell differentiation and nitrogen catabolite repression (NCR)
- Modelling assumption: auto-activating transcription factor A with 2 binding sites in regulatory region of its gene
- Scenario: duplication of gene A



- Subsequent mutation scenario: loss of activating domain



- Can oscillations emerge via a few additional mutations?

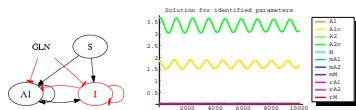
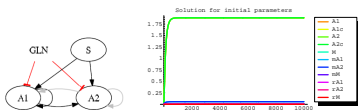
# Emergence of New Dynamical Repertoire: from a Bistable Switch to an Oscillator

- Evolutionary scenario



# Emergence of New Dynamical Repertoire: from a Bistable Switch to an Oscillator

## ● Evolutionary scenario



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Dynamical  
Analysis:  
Applications to  
Gene Networks

James Lu

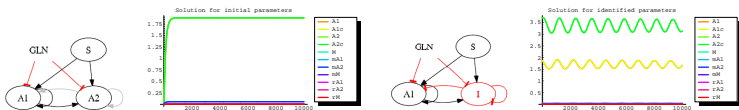
Motivation

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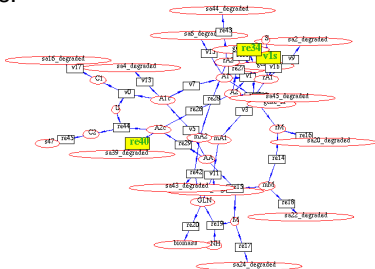
Inverse  
Bifurcation  
Analysis

# Emergence of New Dynamical Repertoire: from a Bistable Switch to an Oscillator

## Evolutionary scenario



## Identified reactions:



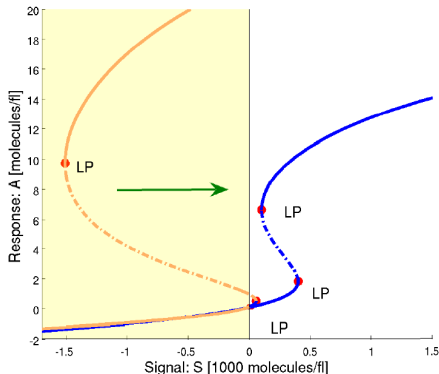
$$AI^*v1s[t] = \frac{AI^*sAI^*Va1\_S}{0.04+0.011} \frac{AI^*s+AI^*Ks1\_S[t]}{AI^*s+AI^*Ks1\_S[t]}$$

$$AI^*re34[t] = \frac{AI^*sAI^*Va2\_S}{0.04+0.003} \frac{AI^*s+AI^*Ks2\_S[t]}{AI^*s+AI^*Ks2\_S[t]}$$

$$AI^*re40[t] = 24 AI^*A2c[t] \frac{AI^*D\_A2c}{0.93+0.0046}$$

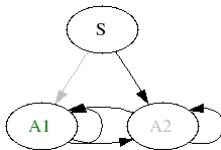
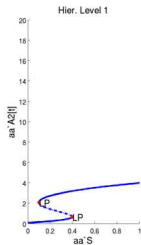
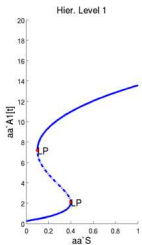
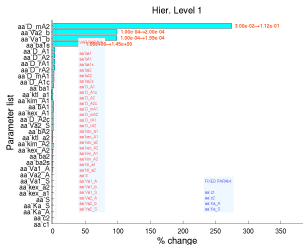
# Inverse Bifurcation Analysis: GATA Example

- Consider the duplicated, dual-activator GATA system
- System is an irreversible switch after duplication
- Which mutations can relieve the hypersensitivity to signal?



# Inverse Bifurcation Analysis: GATA Example

- Identified parameters for relieving hypersensitivity



# Hierarchical Approach: Identifying Multiple Solutions

Inverse  
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Eigenvalue  
Analysis

Inverse  
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Analysis

- In general, multiple solutions exist even under sparsity constraint
- Use an hierarchical approach to identify a sequence of solutions to the inverse bifurcation problem

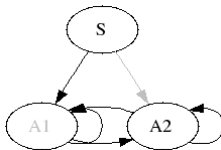
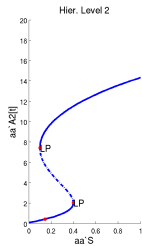
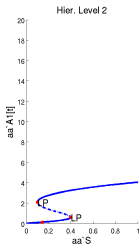
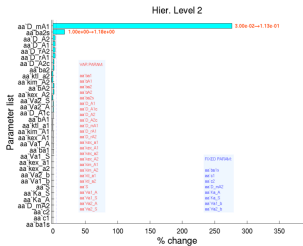
## Algorithm

HIERARCHICAL-IDENTIFICATION( $q_s^0 \in \mathbb{R}^m$ , MaxLevel,  $p$ ,  $\epsilon$ )

- **Initialize:**  $s \leftarrow \{1, \dots, m\}$ ,  $I_{\text{identified}} \leftarrow \emptyset$
  - **FOR**  $j = 1, \dots, \text{MaxLevel}$ 
    - $I_{\text{remain}} \leftarrow s \setminus I_{\text{identified}}$
    - If  $I_{\text{remain}} = \emptyset$ , **Exit**
    - **Solve**  $q_{I_{\text{rem}}}^j \leftarrow \text{ParamIden}(q_{I_{\text{rem}}}^0, l_{p, \epsilon}^p)$ ; if no solution, **Exit**.
    - $I_j \leftarrow \{i : |(q_{I_{\text{rem}}}^j)_i| > \sqrt{\epsilon}\}$
    - $I_{\text{identified}} \leftarrow I_{\text{identified}} \cup I_j$
- END**
- **Return**  $\{q_{I_1}^1, q_{I_2}^2, q_{I_3}^3, \dots\}$

# Inverse Bifurcation Analysis: GATA Example

- Level 2: alternative parameters for relieving hypersensitivity



# The $G_1/S$ Module of Mammalian Cell Cycle

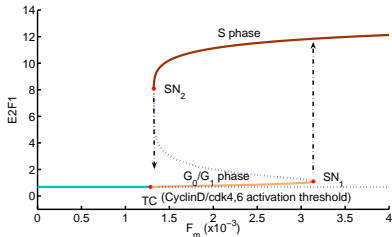
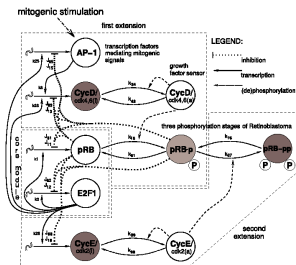
Inverse Dynamical Analysis: Applications to Gene Networks

James Lu

Motivation

Inverse Eigenvalue Analysis

Inverse Bifurcation Analysis



M. Swat, A. Kel, H. Herzel, *Bioinformatics* (2004)

- What can be said about the **core** regulatory mechanism of the bifurcation points?

# The $G_1/S$ Module of Mammalian Cell Cycle

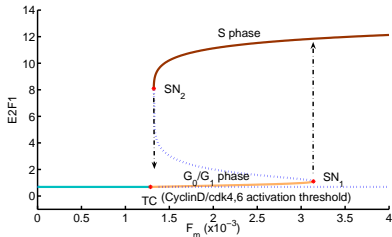
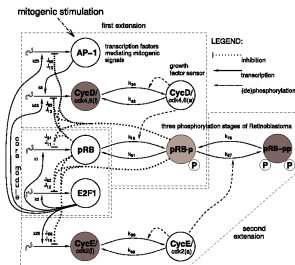
Inverse  
Dynamical  
Analysis:  
Applications to  
Gene Networks

James Lu

Motivation

Inverse  
Eigenvalue  
Analysis

Inverse  
Bifurcation  
Analysis



M. Swat, A. Kel, H. Herzel, *Bioinformatics* (2004)

- What can be said about the **core** regulatory mechanism of the bifurcation points?
  - **Inverse Bifurcation Analysis**

# Inverse Bifurcation Analysis: Mammalian $G_1/S$ Transition

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Analysis:  
Applications to  
Gene Networks

James Lu

Motivation

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Eigenvalue  
Analysis

Inverse  
Bifurcation  
Analysis

- Goal: infer **core** regulatory mechanism of the  $G_1/S$  genetic switch
- Method: *bifurcation phenotypes* → parameter sets

# Inverse Bifurcation Analysis: Mammalian $G_1/S$ Transition

Inverse  
Dynamical  
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Applications to  
Gene Networks

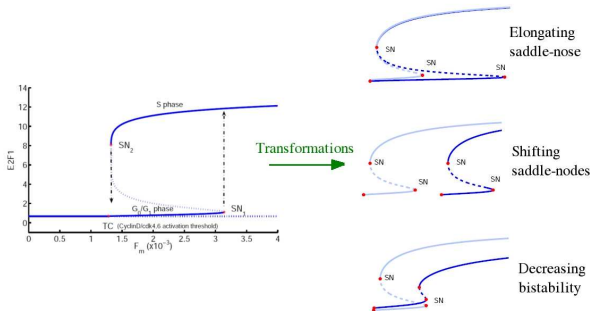
James Lu

Motivation

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Eigenvalue  
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Inverse  
Bifurcation  
Analysis

- Goal: infer **core** regulatory mechanism of the  $G_1/S$  genetic switch
- Method: *bifurcation phenotypes*  $\rightarrow$  parameter sets
- Consider the following 3 modes of **geometric transformations** of the nominal bifurcation diagram:



# Inverse Bifurcation Analysis: Mammalian $G_1/S$ Transition

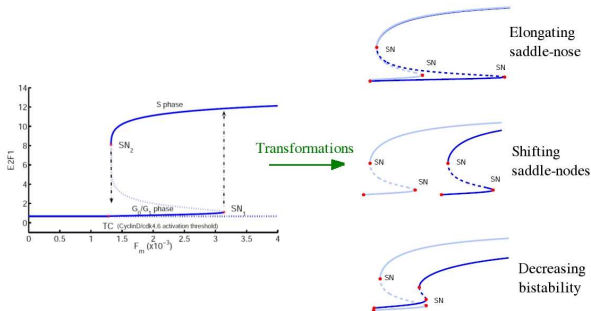
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Inverse  
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Analysis



- Biological relevance of geometric perturbations:
  - observed in mutant phenotypes
  - checkpoint mechanism: delay of transition point
  - response of switch to additional signals

# Inverse Bifurcation: Effect of Sparsity Constraint

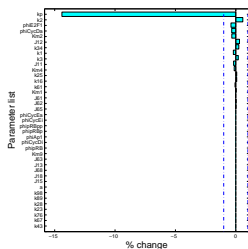
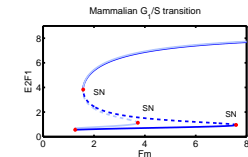
Inverse  
 Dynamical  
 Analysis:  
 Applications to  
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James Lu

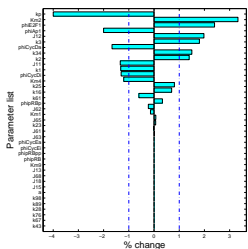
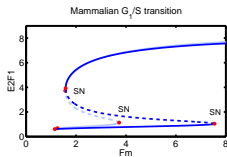
Motivation

Inverse  
 Eigenvalue  
 Analysis

Inverse  
 Bifurcation  
 Analysis



(g)  $l_{0.1,10^{-4}}^{0.1}$  regularization



(h)  $l_2^2$  regularization

# Inverse Bifurcation: Identified Modules

Inverse  
Dynamical  
Analysis:  
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Gene Networks

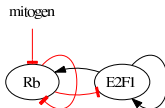
James Lu

Motivation

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Inverse  
Bifurcation  
Analysis

$$\begin{aligned} \frac{d}{dt}[\text{pRB}] &= k_1 \frac{[\text{E2F1}]}{K_{m1} + [\text{E2F1}]} \frac{J_{11}}{J_{11} + [\text{pRB}]} \frac{J_{61}}{J_{61} + [\text{pRB}_p]} \\ &\quad - k_{16}[\text{pRB}][\text{CycD}_a] + k_{61}[\text{pRB}_p] - \phi_{\text{pRB}}[\text{pRB}], \\ \frac{d}{dt}[\text{E2F1}] &= k_p + k_2 \frac{a^2 + [\text{E2F1}]^2}{K_{m2}^2 + [\text{E2F1}]^2} \frac{J_{12}}{J_{12} + [\text{pRB}]} \frac{J_{62}}{J_{62} + [\text{pRB}_p]} \\ &\quad - \phi_{\text{E2F1}}[\text{E2F1}] \end{aligned}$$



$$\begin{aligned} \frac{d}{dt}[\text{CycD}_i] &= -k_{34}[\text{CycD}_i] \frac{[\text{CycD}_a]}{K_{m4} + [\text{CycD}_a]} + \dots \\ \frac{d}{dt}[\text{CycD}_a] &= k_{34}[\text{CycD}_i] \frac{[\text{CycD}_a]}{K_{m4} + [\text{CycD}_a]} + \dots \end{aligned}$$

# Possible Biological Interpretations: $G_1/S$ Module

Inverse  
Dynamical  
Analysis:  
Applications to  
Gene Networks

James Lu

Motivation

Inverse  
Eigenvalue  
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Inverse  
Bifurcation  
Analysis

- **Modification 1 + 2 : move bistability**
  - **move  $SN_1$  or  $SN_1/SN_2$  : insensitivity to mitogen**  
→ E2F1/pRB  $\leftrightarrow$  p53/Mdm2 involved in cell-cycle arrest

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→ increase stochasticity of response

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→ increase stochasticity of response
- 3 Propose **new experiments** to check mathematical model
  - iterate the **Experiment-Model-Experiment** loop

Collaborators: Heinz Engl, Philipp Kuegler, Rainer Machné, Stefan  
Mueller, Peter Schuster

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Project Nr. MA05